1	Diagnosing Southeast Tropical Atlantic SST and Ocean
2	Circulation Biases in the CMIP5 Ensemble
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ABSTRACT

19 Warm sea-surface temperature (SST) biases in the southeastern tropical Atlantic 20 (SETA), which is defined by a region from 5°E to the west coast of southern Africa and 21 from 10°S to 30°S, are a common problem in many current and previous generation 22 climate models. The Coupled Model Intercomparison Project Phase 5 (CMIP5) ensemble 23 provides a useful framework to tackle the complex issues concerning causes of the SST 24 bias. In this study, we tested a number of previously proposed mechanisms responsible 25 for the SETA SST bias and found the following results. First, the multi-model ensemble mean shows a positive shortwave radiation bias of $\sim 20 \text{ Wm}^{-2}$, consistent with models' 26 27 deficiency in simulating low-level clouds. This shortwave radiation error, however, is 28 overwhelmed by larger errors in the simulated surface turbulent heat and longwave 29 radiation fluxes, resulting in excessive heat loss from the ocean. The result holds for 30 atmosphere-only model simulations from the same multi-model ensemble, where the 31 effect of SST biases on surface heat fluxes is removed, and is not sensitive to whether the 32 analysis region is chosen to coincide with the maximum warm SST bias along the coast 33 or with the main SETA stratocumulus deck away from the coast. This combined with the 34 fact that there is no statistically significant relationship between simulated SST biases and 35 surface heat flux biases among CMIP5 models suggests that the shortwave radiation bias caused by poorly simulated low-level clouds is not the leading cause of the warm SST 36 37 bias. Second, the majority of CMIP5 models underestimate upwelling strength along the 38 Benguela coast, which is linked to the unrealistically weak alongshore wind stress 39 simulated by the models. However, a correlation analysis between the model simulated 40 vertical velocities and SST biases does not reveal a statistically significant relationship

41	between the two, suggesting that the deficient coastal upwelling in the models is not
42	simply related to the warm SST bias via vertical heat advection. Third, SETA SST biases
43	in CMIP5 models are correlated with surface and subsurface ocean temperature biases in
44	the equatorial region, suggesting that the equatorial temperature bias remotely contributes
45	to the SETA SST bias. Finally, we found that all CMIP5 models simulate a southward
46	displaced Angola-Benguela Front (ABF), which in many models is more than 10° south
47	of its observed location. Furthermore, SETA SST biases are most significantly correlated
48	with ABF latitude, which suggests that the inability of CMIP5 models to accurately
49	simulate the ABF is a leading cause of the SETA SST bias. This is supported by
50	simulations with the oceanic component of one of the CMIP5 models, which is forced
51	with observationally derived surface fluxes. The results show that even with the
52	observationally derived surface atmospheric forcing, the ocean model generates a
53	significant warm SST bias near the ABF, underlining the important role of ocean
54	dynamics in SETA SST bias problem. Further model simulations were conducted to
55	address the impact of the SETA SST biases. The results indicate a significant remote
56	influence of the SETA SST bias on global model simulations of tropical climate,
57	underscoring the importance and urgency to reduce the SETA SST bias in global climate
58	models.

60 1. Introduction

61	Coupled General Circulation Models (CGCMs) suffer from a prominent SST warm bias
62	in the tropical oceans (e.g. Mechoso et al. 1995; Davey at al. 2002) and the double
63	intertropical convergence zone (ITCZ) syndrome (e.g. Mechoso et al. 1995; Dai, 2006),
64	which has confronted the climate modeling community for years. Specifically in the
65	tropical Atlantic, most climate models fail to simulate a cold tongue in the eastern
66	equatorial ocean during boreal summer in June-July-August (JJA) (Figure 1a and 1b) and
67	many generate a reversed zonal SST gradient and too-flat a thermocline along the equator
68	compared to observations (Davey et al. 2002) (Figure 1d). There have been many
69	previous studies investigating the origin and causes of these biases, and different
70	thermodynamic and dynamic processes have been proposed to explain their origin (e.g.,
71	Dewitt 2005; Chang et al. 2007; Large and Danabasoglu 2006; Richter and Xie 2008;
72	Wahl et al. 2009; Richter et al. 2012a). Despite the insights gained by these previous
73	diagnostic studies, little progress has been made in resolving the bias problem in the
74	tropical Atlantic. This SST bias persists in the newly released CMIP5 ensemble (Taylor
75	et al, 2012; see Richter et al. 2012b for an intercomparison of CMIP5 models in the
76	tropical Atlantic). Figures 1a and 1b compare the 21-year (1984-2004) mean SST bias in
77	the tropical Atlantic between the multi-model ensemble mean of 38 CMIP5 and 23
78	CMIP3 models and Figure 1c shows the SST bias difference between these two model
79	ensembles. Evidently, the bias patterns from the previous and current generation of
80	Intergovernmental Panel on Climate Change (IPCC) models resemble each other,
81	indicating that the bias problem remains unresolved. In fact, compared to the CMIP3
82	ensemble, the severe warm SST bias off the west coast of southern Africa is worsened by

approximately 1°C in CMIP5 models, although the cold SST bias in the northern tropical
Atlantic is somewhat reduced, as shown in Figure 1c.

85 A closer examination of Figure 1 indicates that the maximum SST bias is not 86 located on the equator, but off the west coast of southern Africa from 15°S to 25°S in the 87 southeast tropical Atlantic (SETA) (defined by a region (5-20°E, 30-10°S) in Figure 1a), 88 with a magnitude of more than 6°C. This bias is most pronounced along the coast and 89 rapidly decreases in the offshore direction. Associated with the SST errors, the CMIP5 90 models also suffer from subsurface temperature biases, particularly along the African 91 coast, where biases are more pronounced below than at the surface (Figure 2). Along the 92 African coast, the maximum subsurface temperature bias is located around 17°S with an 93 amplitude of more than 7°C. A bias of more than 6°C occupies an area extending from 94 16°S to 25°S in the upper 50m. Such a subsurface temperature bias is a robust feature in 95 all models, not only CGCMs but also oceanic GCMs (OGCMs) forced with the best 96 estimate of atmospheric surface forcing derived from observations, reanalysis or seasonal 97 forecast models (Huang et al. 2007). While the bias magnitude is reduced in OGCMs it is 98 still significant and the patterns in the SETA are similar (Grodsky et al. 2012). Along the 99 equator, on the other hand, the bias in OGCMs is much smaller than that in CGCMs. The 100 bias problem even exists in widely used ocean reanalysis data (Xu et al. 2013), such as 101 simple ocean data reanalysis (SODA) (Carton, 2005; Carton and Giese, 2008) and hybrid 102 coordinate ocean model reanalysis (HYCOM) (Chassignet et al., 2007). These simple 103 comparisons indicate the persistence and intractability of the Atlantic SST bias problem, 104 which severely undermines the credibility of climate models in simulating and projecting 105 future climate change in the region.

106	The existence of the SST bias in OGCMs and ocean reanalysis datasets suggests
107	an oceanic origin of the SETA SST bias. This is in contrast to the equatorial SST bias that
108	is thought to be of atmospheric origin (Richter et al., 2012a; Wahl et al., 2009), in spite of
109	the fact that the bias pattern appears to stretch continuously from the equatorial to the
110	SETA region (Large and Danabasugolu, 2006). Compared to its counterpart in the
111	Pacific, the ocean circulation system in the SETA has some distinctive features. The
112	Benguela Current (BC) off the west coast of Southern Africa is driven by the surface
113	pressure gradient associated with coastal upwelling (Peterson and Stramma, 1991) and
114	flows equatorward from Cape Point. In contrast to the Peru Current (Humboldt Current)
115	off the South American coast, the BC does not reach the equator, partly due to a
116	southward coastal current, the Angola Current (AC). The AC flows against the local
117	prevailing southerly wind and is associated with a local doming structure in the upper
118	ocean density structure (Wacongne and Piton 1992, Yamagata and Iizuka 1995). Local
119	wind stress curl may be crucial in determining the structure of the AC (Colberg and
120	Reason 2006, Fennel et al., 2012). The two coastal currents converge near 16°S and form
121	a sharp temperature front, known as the Angola-Benguela Front (ABF) (Lass et al. 2000).
122	No such strong front is found in the southeast tropical Pacific (Penven et al. 2005). Xu et
123	al. (2013) proposed that the failure of climate models to realistically simulate the ABF is
124	a major cause of the warm SST bias in the region.
125	The southeast Pacific and Atlantic both feature extensive regions of low-level

marine stratus clouds that form over the cold SST. It has been a long-standing problemthat climate models underestimate low-level stratus clouds in these two regions, resulting

128 in too much solar radiation reaching the ocean surface and a warm SST bias (Ma et al.,

129	1996; Yu and Mechoso, 1999; Gordon et al. 2000; Huang et al., 2007; Hu et al., 2008;
130	Chang et al. 2007). Considerable progress has been made in the past decade to
131	understand marine boundary layer clouds and their interactions with the ocean-
132	atmosphere-land system over the southeast tropical Pacific. The Variability of American
133	Monsoon Systems (VAMOS) Ocean-Cloud-Atmosphere-Land Study (VOCALS)
134	program (Mechoso and Wood, 2010; Mechoso et al. 2014 and references therein) and the
135	preceding Eastern Pacific Investigation of Climate Processes in the Coupled Ocean -
136	Atmosphere System (EPIC) program (Bretherton et al. 2004) have resulted in a
137	substantial body of knowledge on the southeast Pacific stratocumulus deck and its effects
138	on climate model biases, as well as invaluable atmospheric and oceanic observational
139	data sets to understand and validate climate model simulations (de Szoeke and Xie,
140	2008). Studies within these programs further support the notion that stratocumulus cloud
141	decks are a major factor in the climate model biases in the southeast tropical Pacific.
142	Among these studies is a model-data comparative analysis by de Szoeke et al. (2010) that
143	compared an ensemble of CMIP3 model simulations to various observations in the
144	southeast Pacific stratocumulus deck region. Their results reveal that all CMIP3 models
145	have at least 30 Wm^{-2} too much solar warming in October due to poorly simulated stratus
146	clouds. These findings of VOCALS and EPIC programs can be extremely valuable in
147	understanding the tropical Atlantic bias and motivate us to quantify the role of the
148	stratocumulus cloud decks in the SETA SST bias. Given the distinct ocean circulation
149	features in the SETA region as discussed above, we would like to know the relative
150	importance of the stratus-cloud induced shortwave radiation error in comparison with
151	other systematic errors of oceanic origin in causing the SETA SST bias.

152	In contrast to the southeast tropical Pacific region, the SETA stratocumulus cloud
153	process and the associated ocean-atmosphere-land interactions are less understood and
154	direct field observations are scarce in the region. A few existing studies are largely
155	model-based and somewhat inconclusive. Huang et al. (2007) used the NCEP coupled
156	forecast system (CFS) model to study the initial bias growth and concluded that the
157	inability of CFS to reproduce realistic amounts of low clouds in the SETA is a major
158	cause of the warm SST bias. Hu et al. (2008) later found that the underestimation of the
159	low cloud with the same model stemmed from the cloud scheme employed in the
160	atmospheric model. However, Large and Danabasugolu (2006) argued that the solar
161	radiation bias was not enough to generate a 5°C warm SST bias. A similar conclusion
162	was also drawn by Wahl et al. (2009) in their investigations with the Kiel climate model.
163	By artificially reducing the shortwave radiation at the ocean surface in their model, they
164	found that the warm SST bias was reduced by approximately 50%, but not eliminated.
165	Besides the direct warming effect, possible thermodynamic and dynamic feedbacks may
166	exist between low clouds and SST. For example, Nigam (1997) proposed that in the
167	southeast tropical Pacific insufficient low clouds in climate models reduces longwave
168	radiation heat loss at cloud-top, which in turn can induce weakened subsidence and
169	reduce near-surface divergence. In the southeast tropical Pacific, this weakened
170	divergence causes a northerly wind anomaly along the coast, leading to weakened coastal
171	upwelling and warmer SST.
172	From an oceanic perspective, the BC region is one of the strongest coastal
173	upwelling regions in the world oceans. Driven by the alongshore southerly winds, the off-

174 shore Ekman flow induces an upward vertical flow and brings cold deep ocean waters to

175 the surface. The warm bias in models is possibly due to insufficient coastal upwelling 176 (Large and Danabasugolu, 2006). Indeed, Huang (2004) found that the alongshore winds 177 were too weak to generate adequate coastal upwelling in the COLA CGCM. Wahl et al. 178 (2009) suggest that insufficient resolutions in current generation CGCMs may be a 179 potential cause for the upwelling problem. Seo et al. (2006) showed a reduced SST bias 180 along the African coast by only increasing the ocean model resolution in a regional 181 coupled model simulation. They attributed this SST bias reduction to the improvement in 182 simulating oceanic meso-scale activity and coastal upwelling. Similar improvements due 183 to enhanced model resolution are also found in two different versions of the GFDL 184 coupled model (Doi et al., 2012). However, Kirtman et al. (2012) did not find any 185 significant improvement of the SETA SST bias when they increased the ocean model 186 resolution from 1° to 0.1°. 187 Two independent GCM studies by Richter et al. (2012a) and Wahl et al. (2009) 188 found that an improved simulation of the deep tropics can lead to a reduction in the 189 SETA SST bias by 2~3°C, without changing the local surface forcing. This reduction, 190 however, is not strong enough to eliminate the warm SST bias that is on order of 5-6°C. 191 Richter et al. (2012a) speculated that the equatorial influence was mediated through 192 Kelvin waves propagating along the equatorial and coastal waveguides. A recent study by 193 Toniazzo and Woolnough (2013), based on an error growth analysis of three CMIP5 194 model decadal hindcast experiments, also highlighted the importance of the remote 195 influence of equatorial SST errors on SETA SST errors via subsurface ocean anomalies. 196 In the long-term mean sense, the AC flows southward along the African coast, so the SST 197 bias in the eastern equatorial Atlantic could be advected to the SETA region by the AC.

198 Regardless which one of these mechanisms dominates, these studies suggest that the199 biases along the equator and in the SETA are linked to a certain extent.

- 200 All the above-described mechanisms are likely to contribute to the SETA SST
- 201 bias, but their relative importance has not been fully determined. This study attempts to
- 202 quantify the relative contribution from each of these proposed mechanisms to the warm
- 203 SST bias in the SETA using the latest CMIP5 ensemble. In section 2, we will first

204 describe the CMIP5 data set along with observed and reanalysis data sets used to validate

205 the model simulations. In section 3, we will examine each of the proposed mechanisms

206 for the SETA SST bias by analyzing the CMIP5 data set against observed and reanalysis

207 datasets. In section 4 and 5, we will focus on examining the oceanic mechanism

suggested by Xu et al. (2013) that identifies the oceanic advection as a key process

209 responsible for the strong warm SST bias in the SETA. In section 6, we attempt to

address the climate impact of the SETA SST bias. Finally, in section 7 we will

- 211 summarize major findings of this study.
- 212

213 2. Datasets

In this section, we give a brief description of various modeling and observed datasets used in this study.

216

217 2.1 CMIP5 model ensemble

The CMIP5 multi-model ensemble includes a set of CGCM simulations carried out by various modeling centers and groups around the world to understand past and

220 future climate change, forming the basis of IPCC fifth assessment report (AR5; Taylor et

221 al., 2012). In this study, we chose 38 models for our analysis and a brief description of these is given in Table 1. We use CMIP5 hindcasts of the 20th century, which employ the 222 223 observed historical greenhouse gas and other external forcings and cover the period from 224 1870 to 2005 (this integration period varies in some model runs). To compare the CMIP5 model ensemble to its predecessor, CMIP3, we also analyzed 23 CMIP3 models' 20th 225 226 century climate simulations (20C3M) for the same time period as CMIP5. To assess the 227 role of coupled surface flux feedbacks, we also examine experiment AMIP in the CMIP5 228 archive, in which models are forced with observed SST.

229

230 2.2 Reynolds SST

231 The optimally interpolated (OI) Reynolds SST with a daily temporal resolution 232 and 0.25° spatial resolution is used as the observed SST to validate the model 233 simulations. The data set is based on in-situ observations, National Oceanographic Data 234 Center (NODC)'s Advanced Very High Resolution Radiometer (AVHRR) Pathfinder 235 Version 5 satellite measurements from September 1, 1981 to December 31, 2005, and the 236 operational US Navy AVHRR data from January 1, 2006 to present. It includes a bias 237 correction of the satellite data in reference to in situ observations using an Empirical 238 Orthogonal Teleconnection (EOT) algorithm (see Reynolds et al., 2007 for more details). 239 240 2.3 NCEP-CFSR

The National Centers for Environmental Prediction (NCEP) Climate Forecast System Reanalysis (CFSR) (Saha et al., 2010) is a recently released reanalysis dataset. It is based on a global high-resolution coupled ocean-atmosphere system. Its atmospheric

component has a spectral resolution of T382 (\sim 38 km) and 64 vertical levels, and its oceanic component has a uniform grid of 0.25° in longitude, a meridional grid varying from 0.25° at the equator to 0.5° outside tropics, and 40 vertical levels.

247

248 2.4 O A Flux

The Objectively Analyzed air-sea Flux (OAFlux) data set is derived from satellite data, in-situ observations and Numerical-Weather-Prediction (NWP) reanalyses using bulk parameterizations. This product provides daily air-sea fluxes on a 1° grid covering the global oceans that validated against buoy data (Yu and Weller, 2004).

253

254 2.5 COREII

Coordinated Ocean-ice Reference Experiments version 2 (COREII) dataset is the
descendent of COREI, providing a common interannual forcing field for ocean-ice
simulations. It combines satellite measurements with reanalysis datasets with an
improved algorithm to derive the surface fluxes. The data set contains interannually
varying surface variables from 1948 to 2007 with 6-hourly temporal resolution for some
variables, such as winds. More details can be found in Large and Yeager (2004, 2008).
2.6 POP Simulation

The Parallel Ocean Program (POP) was developed at the Los Alamos National Laboratory (LANL). It solves the 3-dimenional primitive equations under the hydrostatic and Boussinesq approximations and employs a z-vertical coordinate and finite-difference discretization method for the spatial derivatives. In this study we analyze simulation

267	results of POP version 2 (POP2) forced with 60-year (1948-2007) COREII surface
268	forcing to compare them with the results from CCSM4, which uses the same POP2 as its
269	oceanic component. It has a nominal 1° horizontal resolution on a curvilinear grid with
270	the North Pole displaced over Greenland. The layer thickness between the 60 vertical
271	levels varies from 10 m in the upper 160 m, to 250 m near the bottom. A detailed
272	discussion of model physics parameterizations is provided by Danabasoglu et al. (2012).
273	The simulation was run for 14 cycles (840 years) (Table 2) to allow the model to reach
274	equilibrium and the last cycle was used for our analysis to minimize the errors from
275	potential model drift. By comparing POP2 and CCSM4 simulations, we attempt to
276	distinguish between biases originating in the oceanic and atmospheric components of the
277	coupled model.
278	Unless noted otherwise, a 21-year period from January 1984 to December 2004,
279	which is the common period of all the datasets listed above, was chosen for the bias
280	analysis. In the POP simulation, the field from 1984 to 2004 in the last forcing cycle is
281	used for analysis.
282	
283	3. Mechanisms of SETA SST Bias
284	3.1 Stratocumulus Cloud and Shortwave radiation
285	A common problem in CGCMs is the under-representation of stratocumulus
286	decks in the SETA region, which leads to excessive shortwave radiation at the ocean
287	surface (Huang et al., 2007 and Hu et al., 2008). However, Large and Danabasugolu
288	(2006) argued that the bias due to shortwave radiation is too small to account for the

severe warm SST biases in the region, which often exceed 5 K. Figures 3a and 3c show

290	shortwave radiation in the OAFlux data and the CMIP5 ensemble. In the following
291	discussion, positive is defined as heat flux into the ocean. Shortwave radiation is
292	conspicuously low in the area 0-10°E and 20-10°S in OAFlux, consistent with shortwave
293	reduction due to the presence of stratus cloud. Clearly, this region of low shortwave
294	radiation is much less prominent in the CMIP5 ensemble. Apart from reflecting incoming
295	shortwave radiation, stratocumulus cloud also reflects ocean-emitted longwave radiation
296	back to the surface and thus reduces ocean heat loss. As a result, the influence of
297	stratocumulus is not only visible in the shortwave fluxes (Figure 3a) but also in the
298	longwave fluxes (Figure 3b) in OAFlux. In the CMIP5 ensemble, on the other hand, this
299	signature of the stratocumulus is much less pronounced. CMIP5 models also show
300	excessive shortwave radiation along the African coast compared to OAFlux (Figure 3c),
301	coinciding with the maximum SST bias in the same region as shown in Figure 1a.
302	In addition to shortwave and longwave radiation, sensible and latent heat fluxes
303	are also crucial to the net surface heat flux. To quantify their contributions to the net
304	surface heat flux, we average these fields over all the ocean points within two areas, (5-
305	20°E, 30-10°S) and (5°-10°E, 25-10°S), respectively. The first region covers the area of
306	maximum SST bias (see the box in Figure 1a) and its choice is motivated by the desire to
307	identify the cause of the SST bias, which is the main objective of this study. We will use
308	this area for all the following area-averaged analyses unless otherwise noted. However,
309	this region is not necessarily well suited to study the effect of the main SETA
310	stratocumulus deck. This deck is located off the coast (Figure 3) due to a low-level
311	atmospheric jet along the Benguela coast (Nicholson, 2010), which can clear much of the
312	cloud in the region, as indicated in Figure 3. Due to the presence of the jet, oceanic

processes can become more dominant in the local heat budget, making it difficult to
assess the importance of the SETA stratocumulus deck in model biases. To address this
issue, we define a second area that covers the area of maximum SETA stratocumulus
incidence (marked by a box in Figure 3a).

The results of the surface heat flux analysis are shown in Figure 4. In the SETA region, Figure 4a clearly shows that the shortwave radiation is the only positive flux and that it dominates the net surface heat flux. In fact, the shortwave radiation is greater than the sum of the other three components in both CMIP5 and OAflux, so that the net heat flux has the same sign as the shortwave radiation. This indicates that the atmosphere tends to warm the ocean surface in the SETA.

323 There are, however, large discrepancies between heat fluxes derived from CMIP5 324 and OAflux. Shortwave radiation is excessively large in CMIP5, resulting in a positive flux bias of about 20 Wm⁻². The dominant bias, however, is that of the latent heat flux, 325 which is on the order of 50 Wm⁻² compared to OAflux, followed by the longwave 326 327 radiation bias. Both of these fluxes are overestimated in the CMIP5 models, thus 328 offsetting the shortwave radiation bias. As a result, the net surface heat flux bias is 329 negative, indicating that the ocean receives considerably less net surface heat flux (~60 Wm⁻²) in the models than in observations. This seems to suggest that the heat flux bias 330 331 should result in a cold SST bias in this region in the absence of other processes. One has 332 to consider, however, that the underlying SST is quite different in CMIP5 and OAflux, 333 which likely influences the flux balance.

To estimate the influence of the warm SST bias on the surface fluxes, we examine an ensemble of atmosphere-only GCMs forced with observed SST (experiment AMIP in

336	the CMIP5 archive; see Table 1 for a list of ensemble members). The analysis suggests
337	that the presence of the warm SST bias leads to an increase of latent heat flux by about 30
338	W m ⁻² . The influence is less pronounced for longwave and shortwave radiation, which
339	only increase by ~3 and ~2 W m ⁻² , respectively. Notwithstanding the impact of SST
340	biases on the flux balance, it is obvious that even in the AMIP ensemble the net flux into
341	the ocean is smaller than in OAflux data, resulting in a negative net surface heat flux bias
342	of approximately 30 Wm ⁻² . We further note that the shortwave flux into the ocean
343	increases by less than 2 W m ⁻² in CMIP5 relative to AMIP, suggesting a weak
344	stratocumulus-SST feedback in CMIP5 models.
345	However, as mentioned earlier, the presence of the low-level atmospheric jet in
346	the SETA region may lessen the effectiveness of the stratocumulus cloud error in
347	generating SST biases. We next examine the same heat flux analysis in the main
348	stratocumulus deck region (see the box in Figure 3a). The result shows that although the
349	shortwave radiation biases in both CMIP and AMIP do increase by \sim 20-30% compared
350	to the value in the SETA region, consistent with the large model biases in simulating
351	stratocumulus cloud in the region, these increases are not sufficiently large to change the
352	sign of the net surface heat flux biases and they remain to be negative even in the main
353	SETA stratocumulus region (Figure 4b). As a result, the net heat flux biases in both
354	CMIP and AMIP behave similarly to those in the SETA region (Figure 4a). Since a
355	positive net heat flux is defined as into the ocean, the negative net heat flux biases
356	indicate that less heat is pumped into the ocean in the models than in reality even under
357	the main SETA stratocumulus deck, acting to cool but not warm the ocean, despite the
358	increased shortwave radiation error. de Szoeke et al. (2012) reported a 40 Wm ⁻²

359 shortwave radiation bias in the CMIP3 ensemble over the main southeast tropical Pacific 360 stratocumulus deck region, which is sizably larger than the shortwave radiation bias (~25 361 Wm⁻²) we found over the SETA stratocumulus deck region in the CMIP5 ensemble. This 362 difference is consistent with the notion that the Pacific stratocumulus deck is a more 363 dominant player in the southeast tropical Pacific SST bias than its Atlantic counterpart. 364 Figure 5 and 6 show spatial maps of the flux biases for the individual heat flux 365 components and their sum, respectively. As shown in Figure 5, except shortwave 366 radiation all flux components show biases that remove too much heat from the ocean. 367 The strip of excessive shortwave radiation along the coastline mentioned earlier (Figs. 3c 368 and 5a) is compensated by the sensible and latent heat fluxes. As a result, the CMIP5 net 369 surface heat flux is less than the observationally derived OAflux value over the SETA region, with a maximum negative bias of over 100 Watts/ m^2 near the region where the 370 371 SST bias is strongest (Figure 6). This finding is consistent with the argument that the 372 warm SST bias is caused by oceanic mechanisms, while the atmospheric fluxes tend to 373 damp the warm bias by removing excessive heat from the ocean. We note that the net 374 surface heat flux bias shown in Figure 6 acts to cool the ocean everywhere within the 375 tropical Atlantic, including the entire SETA stratocumulus deck region where the 376 shortwave radiation bias is positive. This explains the insensitivity of the surface heat 377 flux analysis to the choice of averaging region, as demonstrated by Figure 4a and 4b. 378 We further analyze the role of surface heat flux biases in a scatter plot of SST 379 versus net surface heat flux biases over the SETA region for the CMIP5 models (Figure 380 7a). The average SST bias in this region ranges from 1° to 5°K and the net heat flux bias ranges from -50 to -80 Wm⁻². If surface heat flux biases were largely responsible for the 381

382 SST biases and other causes are not important, one would expect a significant correlation 383 between the two quantities, because a model with a larger heat flux bias should produce a 384 bigger SST bias and vice versa. This is clearly not the case. In fact, a linear fit shows a 385 nearly horizontal line and the correlation between the two quantities is essentially zero, 386 indicating that the SST bias is not related in any simple way to heat flux biases.

387

388 3.2 Coastal Upwelling

389 Poorly simulated coastal upwelling in CGCMs is another widely discussed 390 potential cause of the warm SST biases (Large and Danabasugolu, 2006). The BC region 391 is one of the most prominent upwelling regions in the world oceans. The prevailing 392 surface winds along the coast drive offshore Ekman transport and divergence along the 393 coast. Upwelling of deep and cold subsurface water compensates the water mass loss at 394 the surface and cools the surface ocean. Figure 7b shows the relationship between the inter-model vertical mass transport (in kg s⁻¹) and SST bias. Because only a subset of the 395 396 CMIP5 ensemble provides the vertical mass transport, 20 CMIP5 models were used in 397 the scatter plot. The vertical mass transport, taken at 50 m below the sea surface, is 398 averaged within a 3° wide band along the coast from 15°S to 30°S. The resultant 399 correlation is 0.14, which is low and statistically insignificant. The absence of a linear 400 inter-model relationship between SST biases and coastal upwelling indicates that the SST 401 bias is not simply determined by model upwelling error, i.e., a stronger deficiency in 402 simulated upwelling does not translate to a more severe warm SST bias. It is worth noting 403 that the correlation coefficient is not sensitive to the width of the coastal band used to 404 average the vertical mass transport and the depth at which the vertical mass transport was

405 taken (50m). Using a 5° wide band and/or vertical mass transport at 100m yields a similar
406 result.

407 To further investigate the role of coastal upwelling in SETA SST bias, we 408 correlated alongshore wind stress and vertical mass transport within the model ensemble 409 and obtained a correlation of ~ 0.49 , which is significant at the 95% level (Figure 8a). The 410 alongshore wind stress is defined as the modulus of the wind stress projected onto an 411 angle of 68° relative to parallels and averaged within the same region as vertical mass 412 transport. The high correlation indicates that the strength of the simulated upwelling by 413 CMIP5 models is related to the strength of the alongshore winds. Furthermore, the 414 correlation between alongshore winds and SST biases is 0.47 (Figure 8b), which is 415 significant at the 95% level. The correlation analyses imply that the SST bias is affected 416 by the simulated alongshore winds, but not simply through upwelling-induced vertical 417 heat advection. Other oceanic processes, such as horizontal advection, which are affected 418 by the local winds and coastal upwelling, may play a more important role in SETA SST 419 bias. 420 South of the ABF region, the wind-driven coastal upwelling maintains a pressure 421 gradient pointing toward the coast that drives the northward BC and transports cold water

422 northward. It is conceivable that a weak alongshore wind can lead to a weakened BC,

423 resulting in surface warming near the ABF, owing to deficient cold-water transport from

424 the south. Because of the strong meridional SST gradient near the ABF, failure to

425 accurately represent coastal currents can result in large errors in horizontal heat

426 advection, which may be more dominant than vertical heat advection in balancing the

427 local oceanic heat budget of the region. As such, the Benguela coastal upwelling error in

428 CMIP5 models can indirectly contribute to the SST bias via its impact on horizontal heat429 advection. We will return to this discussion in the following section.

430

431 3.3 Remote Influence From Upstream

432 Richter et al. (2012a) and Wahl et al. (2009) performed numerical experiments in 433 which they replaced the model surface winds with observed winds between 1°S and 1°N 434 (Richter et al., 2012a) and 4°S and 4°N (Wahl et al., 2009). As a result, the simulated 435 equatorial SST was improved, which helped to reduce the SST bias in SETA by about 436 30%. This indicates that some of the SETA SST errors originate upstream in the AC, 437 either through advection or Kelvin wave propagation toward the SETA. Toniazzo and 438 Woolnough (2013) also identified a robust connection between the Atlantic equatorial 439 temperature errors and SST errors along the Benguela-Angola coast. Although this 440 upstream effect is unlikely to be fully responsible for the SETA SST bias, because 441 upstream temperature biases are typically less severe than the SETA SST bias, its 442 contribution may still be significant. 443 To quantify this remote contribution, we analyzed the relationship between SST 444 biases over the southeastern equatorial-Atlantic between 0°-15°E and 10°S to 0° and SST 445 biases over the SETA region. The scatter plot shown in Figure 7d indicates a positive 446 correlation of 0.48, which is significant at the 95% level based on a student t-test. We 447 note that the equatorial SST biases are weaker than those in the SETA region. 448 Furthermore, since the equatorial undercurrent (EUC) is also one of the sources for the 449 AC (Wacongne and Piton 1992), the temperature bias in the equatorial thermocline is 450 also expected to have an influence on the SETA SST bias. Figure 7c shows a scatter plot

451	of CMIP5 model equatorial thermocline temperature biases averaged over an area
452	between 5°W-10°E and 2°S-2°N and a depth range between 20m-100m, where the
453	equatorial subsurface warm bias is strongest, against the SST biases in the SETA. The
454	correlation coefficient is nearly 0.33, indicating that the thermocline temperature bias
455	may make an important contribution to the coastal SST bias. However, we note again in
456	that the thermocline temperature biases with a mean bias of about 2 °C are weaker than
457	the coastal SST biases that have a mean value of about 3 °C. To further validate the
458	remote influence of the equatorial biases on the coastal biases, we performed a lag
459	correlation analysis of the monthly multi-model ensemble mean biases (not shown).
460	Results indicate that on average the equatorial thermocline bias leads the SETA SST bias
461	by about one month in CMIP5 models, suggesting that it is the equatorial temperature
462	bias that affects the SETA SST bias.
463	The above analyses indicate that the SETA warm SST bias is more likely related
464	to systematic errors in dynamic processes, both local and remote ones, than to
465	thermodynamic processes in CMIP5 models. The discussion in section 3.2 further points
466	to the potentially dominant role of horizontal ocean heat advection in causing the warm
467	SST bias near the ABF. However, none of the dynamic mechanisms described above
468	directly relate the SETA SST bias to the erroneous southward shift of the ABF. This
469	southward shift is likely to be important because the center of the SETA SST bias is
470	clearly co-located with the ABF, as shown Figure 1. Motivated by this observation, in the
471	next section we further explore the relationship between the ABF location and the SETA
472	SST bias.

474 4. Mechanism Linking SETA SST Bias to ABF Location Error

475 The ABF is characterized by strong near surface convergence and a strong 476 meridional SST gradient. However, due to the lack of sufficient direct measurements of 477 the surface current field, it is difficult to use direct observations to validate CMIP5 model 478 simulations. Instead, we will use currents derived from the NCEP/CFSR reanalysis as a 479 reference. We choose NCEP/CFSR because among all the ocean reanalyses we 480 examined, it compares most favorably to the few existing hydrographic measurements in 481 the ABF region (e.g., Lass et al. 2000). In particular, NECP/CFSR reproduces the strong 482 meridional temperature gradient associated with the ABF and reproduces its observed 483 latitude at around 16°S (Lass et al. 2000). As shown in Figure 9a and 10b, at the front the 484 two coastal currents, the AC and the BC, converge, resulting in a westward off-shore 485 flow. The subsurface core of the AC shown in Figure 10 is likely related to the local wind 486 stress curl (Fennel et al. 2012). South of the ABF and off the coast of Namibia, the BC 487 decays rapidly off the coast, indicating the role of coastal upwelling. In the multi-model 488 ensemble mean of CMIP5 model simulations, however, near surface currents converge at 489 25°S (Figure 9b) and the northward velocity is also considerably weaker than that in 490 NCEP/CFSR, indicating a very weak BC in the models. This flow structure is consistent 491 with the notion that the upwelling in CMIP5 is too weak, resulting in a very weak BC, as 492 discussed in section 3.2. 493 The weak BC in CMIP5 model simulations partially explains the southward

displacement of the ABF because it enables the AC to overshoot across the observed
ABF latitude and transport warm and saline water to the latitudes of the observed
Benguela upwelling zone. We therefore hypothesize that the overshoot of the AC and the

497 associated southward heat transport are a major cause for the warm SST bias in the

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498 SETA. This mechanism offers an explanation as to why the maximum warm SST bias in
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499 CMIP5 models is located near the ABF.

500 We test this hypothesis by first examining the relationship between simulated 501 ABF locations and SETA SST biases in all CMIP5 models. If the hypothesis is valid, we 502 expect to see a significant positive correlation between these two quantities, because a 503 larger southward shift of the ABF should imply a stronger AC overshoot and thus 504 stronger southward heat advection. Figure 11 shows a scatter plot between ABF location 505 and SST biases in all CMIP5 models. Here the ABF location is defined as the latitude 506 where the zonally averaged meridional velocity within 3 degrees along the coast vanishes 507 and the SST bias is averaged between 5°E-20°E and10°S-30°S. The correlation 508 coefficient of these two quantities is 0.66, which not only passes the 99% significance 509 level of the student t-test, but is also higher than all other correlation values discussed in 510 Section 3. Therefore, multi-model analyses of CMIP5 data seem to support the 511 hypothesis that the overshoot of the AC is a primary cause for the warm SST bias in the 512 SETA region. 513 The next question is what physical processes cause the overshoot of the AC and 514 the southward displacement of the ABF in CMIP5 models. The fact that all CMIP5

515 models show a southward shift of the ABF by 3° to 15° (Figure 11) suggests that there

516 may be common cause for this bias. Since the ABF is maintained by the relative strength

517 of the AC and the BC (Colberg and Reason, 2006), the cause should be related to the

518 physical factors that influence the strength of these currents.

519	We begin by examining the vertical temperature profile along the African coast.
520	In NCEP/CFSR, the strong horizontal temperature gradient near 16°S (Figure 2b) is
521	clearly maintained by the two opposing currents, the AC and the BC, as shown in Figure
522	10b. The difference in thermal structures on two sides of the front is striking. North of the
523	front the thermocline is sharp and forms at a shallow depth of around 50m while SST is
524	warm (Figure 2b). South of the front SST is much cooler and the water column is well
525	mixed, without a visible thermocline. In this region, the temperature contours are lifted
526	upwards, indicative of strong upwelling (Figure 2b). In CMIP5, one sees a very different
527	thermal structure in the Benguela upwelling region with stratified water masses extending
528	all the way to 30°S (Figure 2a), indicating that upwelling is much weaker. The
529	thermocline north of the ABF is too deep and too diffuse compared to the NCEP/CFSR
530	analysis. Together, these differences suggest that the BC, whose strength is linked to the
531	Benguela upwelling, is too weak, while the AC is too strong in CMIP5 models.
532	Next we examine the surface winds. Figure 12 shows the 11-year (1997 to 2007)
533	mean surface wind stresses in CMIP5 and COREII, as well as the difference between the
534	two. In COREII, the maximum wind stress is located just off the coast with a magnitude
535	of more than 0.1Pa. In CMIP5 the wind stress is much weaker and its maximum strength
536	is located farther away from the coast than in COREII. This results in a northerly wind
537	stress bias with a maximum magnitude of more than 0.05Pa along the coast (Figure 12c).
538	Such a northerly wind bias exists in all CMIP5 models examined in this study. The
539	deficient alongshore southerlies in CMIP5 are largely responsible for the weak simulated
540	coastal upwelling, and thus the weak BC, as suggested by the significant correlation
541	between inter-model alongshore winds and vertical mass transport shown in Figure 8a

542	Several possible explanations for the alongshore wind bias have been proposed.
543	Large and Danabasoglu (2006) suggested that insufficient resolution in atmospheric
544	models can cause problems in resolving steep orography along coastal regions,
545	particularly the Andes Mountain Range that spans the entire west coast of South America.
546	The mountain range along the west coast of southern Africa is less steep but may still
547	play a significant role in determining the strength of the South Atlantic high and thus the
548	coastal winds (Richter et al. 2008). Patricola et al. (2011) show in their regional model
549	simulations that local winds in SETA are sensitive to land surface model and convective
550	parameterizations. Nigam (1997) propose that deficient stratocumulus in CGCMs can
551	cause anomalous warming at the cloud top, which induces ascending motion and
552	convergence near the ocean surface. This results in anomalous northerly winds near the
553	coast, which can weaken the alongshore southerlies. A comparison between CMIP5 and
554	COREII winds hints that the low-level atmospheric jet along the Benguela coast, the so-
555	called Benguela jet (Nicholson, 2010), may not be captured by CMIP5 models.
556	Nicholson (2010) suggests that the Benguela jet is reminiscent of the jet along the
557	Peruvian coast (hereafter referred to as the Peruvian jet). Both regions are characterized
558	by large-scale flow parallel to the coast, the presence of a north-south coastal mountain
559	chain, strong coastal upwelling, and a temperature inversion at the top of the marine
560	boundary layer. Garreaud and Muñoz (2005) and Muñoz and Garreaud (2005)
561	investigated the dynamics of the Peruvian jet and suggested that the magnitude of the jet
562	should be closely related to the meridional pressure gradient. We performed a simple
563	correlation analysis between CMIP5 sea-level pressure (SLP) gradients and near-coast
564	meridional wind stress. This analysis, however, did not yield statistically significant

565 correlations, suggesting that the failure of CMIP5 models in simulating the Benguela jet 566 may involve more complex dynamics. A full understanding of this issue requires a 567 comprehensive analysis of momentum budget in CMIP5 models, which is beyond the 568 scope of this study.

569 Furthermore, the local surface wind stress can affect the southward extension of 570 the AC. Colberg and Reason (2006) suggested that the local wind stress curl north of the 571 ABF controls the ABF location. This is because the negative wind stress curl can steer 572 the south equatorial counter current (SECC) southward by generating negative potential 573 vorticity in the ocean. The analytical solution presented by Fennel et al. (2012) also 574 highlights the importance of the local wind stress curl in shaping the ABF and Benguela 575 upwelling through the interplay between the curl driven effects and the coastal Ekman 576 upwelling. In CMIP5 models, the eastward shift of the maximum wind stress generates 577 an excessive negative wind stress curl in this region (Figure 13), which is likely to 578 contribute to the overshoot of the AC in CMIP5 models.

579 The above mechanisms suggest that the SETA warm SST bias can be attributed, 580 to a large extent, to the erroneous local surface wind forcing. Wahl et al. (2009), on the 581 other hand, raised the possibility that insufficient OGCM resolution may also contribute 582 to upwelling and thus SST biases, because coastal upwelling dynamics are not properly 583 resolved. This suggests that even if there are no biases in coastal winds, OGCMs may 584 still produce biases in the SETA, which can be amplified by local air-sea interaction in 585 CGCMs. In the next section, we will examine this possibility by comparing biases in a 586 CGCM simulation to those in a stand-alone ocean-sea ice model simulation forced with 587 observationally derived surface forcing.

589 5. Biases in NCAR CCSM4 and POP2 Simulations

590 To examine the extent to which the SETA bias may be attributed to ocean model 591 physics and resolution issues, we chose to compare simulations by CCSM4 and its ocean 592 and sea-ice component, POP2. Since both models share the same oceanic component 593 with the same physics and resolution, differences between the simulations should be due 594 to atmospheric forcing only. The POP2 simulation is described in Section 2.6. For this 595 analysis, we took the 21-year period from January 1984 to December 2004 from the last 596 (14th) cycle of the simulation and compared it to the historical CCSM4 simulation for the 597 same time period.

598 In comparison with the CCSM4 simulation, the POP2 simulation has a weaker 599 SST bias (~1° C) along the equator (Figure 14). This is expected because the POP2 600 simulation is forced by observationally derived surface forcing and is further constrained 601 by observed surface air-temperatures. As shown by Richter et al. (2012a), replacing 602 erroneous simulated winds along the equator by observed winds alone can substantially 603 reduce the equatorial SST bias. Below the surface, the western equatorial thermocline in 604 POP2 is significantly improved over the CCSM4 simulation and is closer to the 605 NCEP/CFSR reanalysis. The eastern equatorial thermocline, on the other hand, is still too 606 deep and diffuse compared to the reanalysis, resulting in a significant subsurface warm 607 temperature bias (\sim 5°K) that is comparable to or even stronger than that in CCSM4 608 (Figure 14). Furthermore, between 100m to 200m the temperature bias is even stronger in 609 POP2 than CCSM4, indicating large systematic errors in the eastern equatorial subsurface

610 in POP2, which are likely to be related to the parameterization of vertical mixing or611 insufficient vertical resolution.

612 In the SETA, the POP2 simulation produces a prominent SST bias that bears a 613 remarkable similarity to the SST bias pattern in the CCSM4 simulation, albeit with a 614 weaker amplitude that is about half that of the CCSM4 bias. Compared to the CCSM4 615 simulation, the overshooting problem in the POP2 simulation is improved, but not 616 eliminated. As shown in Figure 16b and 16d, the ABF location, defined by zero near-617 surface meridional velocity, is at 20°S in POP2, compared to 25°S in CCSM4. Relative to 618 the observations, however, the ABF in POP2 is still shifted southward by 4°. This 619 indicates that at least half of the AC overshooting problem is attributable to systematic 620 errors of POP2, which may be due to the insufficient model resolution. 621 The CCSM4 and POP2 simulations also share common biases in the upper ocean 622 temperature along the coast of southern Africa. As shown in Figure 16a and 16c, north of the ABF, the thermocline simulated by POP2, similar to that of CCSM4, is too deep and 623 624 too diffuse compared to NCEP/CFSR reanalysis (Figure 2b), resulting in a significant 625 warm bias off the coast of Angola. Compared to CCSM4, the upper ocean temperature is 626 2°C colder, consistent with the smaller upstream bias in upper ocean in the equatorial 627 region in POP2. Beneath 100m, however, the temperature bias in CCSM4 actually is smaller than that in POP2 both in the equatorial region and to the north of the front. 628 629 South of the ABF, the simulated northward BC is too shallow and too weak in 630 POP2 (Figure 16b) compared to that in NCEP/CFSR reanalysis (Figure 10b), even 631 though it is improved relative to CCSM4. With the observed surface forcing, the POP2 632 still generates a significant amount of stratified water mass penetrating across the ABF

into the Benguela upwelling zone, albeit in less pronounced than in CCSM4, suggesting
that the Benguela upwelling simulated by POP2 is too weak compared to observations.
This finding indicates that a significant portion of the SETA biases in CCSM4 may stem
from systematic errors in POP2, some of which may be attributed to insufficient ocean
model resolution that prevents the model from fully resolving the intense upwelling
dynamics off the Benguela coast.

639 To estimate the contribution of the horizontal and vertical heat transport to the 640 local heat budget in CCSM4 and POP2, we compute the upper 100 m heat and volume 641 transport from the western, southern, northern and bottom boundaries of a region in the 642 Benguela upwelling zone indicated by the parallelogram in Figure 17. The results show 643 that the heat (volume) transport into the region by the simulated AC and BC are 644 186.56±20.03 TW (2.09±0.20 Sv) and 69.79±8.10 TW (0.98±0.12 Sv) in CCSM4, 645 respectively, larger than the corresponding values of 135.10±18.19 TW (1.56±0.21 Sv) 646 and 56.52±7.37 TW (0.80±0.10 Sv) in POP2 at the northern and southern boundaries. 647 This results in a stronger offshore heat (volume) transport of -312.0±19.88 TW (-648 3.64±0.25 Sv) in CCSM4 than in POP2 (-262.75±25.51 TW (-3.22±0.35 Sv)), where 649 negative values indicate transport leaving the box. It is interesting to note that even 650 though the BC is stronger in CCSM4 (Figure 16b, 16d), the ABF is located further 651 southward in CCSM4 than in POP2. This is likely due to the bias in the local winds that 652 produces an unrealistically strong wind stress curl in CCSM4 (similar to that shown in 653 Figure 13b), causing the AC to overshoot more severely in CCSM4 than in POP2. 654 At the bottom boundary (located at 100 m), the directly computed volume 655 transport in POP2 (1.13±0.20 Sv) is 60% stronger than that in CCSM4 (0.81±0.14 Sv),

656 demonstrating the effect of the improvement in the alongshore wind. However, the heat 657 transport from the bottom boundary is much larger in POP2 (71.66±12.60 TW) than in 658 CCSM4 (27.79±4.48 TW). This is because the subsurface temperature is considerably 659 warmer in POP2, resulting in a more severe subsurface warm bias in POP2 than in 660 CCSM4. This stronger subsurface warm bias in POP2 is likely to be related to the 661 stronger subsurface warm bias in the equatorial region in POP2 as shown in Figure 14. A 662 mechanism of how the equatorial subsurface temperature bias can affect the coastal SST 663 bias was proposed and discussed by Xu et al. (2013). It is worth noting that the directly 664 computed vertical volume transports, 0.81±0.14 Sv and 1.13±0.20 Sv, in both CCSM4 and POP2 are higher than the implied values, 0.57 Sv and 0.86 Sv, computed as residual 665 of the horizontal transport. This discrepancy is likely due to sampling and interpolation 666 667 errors. In general, it is difficult to balance the mass and heat budgets using monthly mean 668 output. In spite of this uncertainty, it is clear from this analysis that horizontal heat 669 transport plays an equally important, if not more important, role as the upwelling process 670 in determining upper ocean heat budget in the Benguela upwelling region. This finding 671 provides further support to the discussion at the end of Section 3.2.

672

673 6. Impact of SETA SST Bias

The finding that the strongest tropical Atlantic SST bias is not located within the deep tropics, but confined near and south of the ABF from 15°S to 25°S off the west coast of southern Africa, raises an important question about the impact the SETA SST bias on other regions. Given that the SST bias approaches to 8-9°C in some of the CMIP models, one might expect that the impact of this severe SST warm bias will be

significant. Furthermore, one of the most disconcerting features of the SETA warm SST
bias is the fact that the region of the severe warm SST bias coincides with the region of
the most pronounced SST warming trend over the 20th century (see Figure 2 of Deser et
al. 2012). This may undermine the credibility of climate models in detecting, simulating
and projecting future climate change in the region.

684 To further quantify the impact of the SETA SST bias, we performed a set of twin 685 50-year simulations using the Community Atmosphere Model version 3 (CAM3) at T42 686 spectral resolution coupled to a slab-ocean-model (SOM). These experiments were 687 designed to isolate SETA SST bias effects from bias influences from other region. In the 688 first simulation (control run), we used an internal heat source Q (also called a Q-flux) in 689 the SOM, which was computed by constraining the modeled SST with the observed SST 690 climatology, so that the SST in SOM resembles closely the observed SST (not shown). 691 In the second simulation (SST-bias run), we set Q to zero over the south tropical Atlantic 692 domain between 30°S-5°S while keeping the globally integrated Q unchanged. This was 693 done as follows: first, the removed Q was integrated over the south tropical Atlantic 694 domain, then divided by the global ocean area from 60°S to 60°N, excluding the south tropical Atlantic domain, and finally the resultant area-average Q ($\sim 1 \text{ wm}^{-2}$) was added to 695 696 the control run Q at each grid point of the global ocean domain. Since the Q-flux 697 represents the missing ocean heat transport in the SOM, one expects large SST biases to 698 appear in the south tropical Atlantic in this simulation due to the altered Q-flux, whereas 699 in all other regions where Q-flux was only changed by a negligibly small amount from 700 the control run, changes in surface temperature can be primarily attributed to the remote 701 influence of the south tropical Atlantic SST biases.

702	Figure 18 shows the mean surface temperature and precipitation differences
703	between the two simulations (defined as the difference of SST-bias run minus control run
704	averaged over the last 10 simulation years). The large warm SST bias off the coast of
705	southern Africa in the SST-bias simulation bears a remarkable resemblance to the SST
706	bias in the CMIP ensemble shown in Figure 1. Outside of the south tropical Atlantic,
707	cold surface temperature biases are observed over the north tropical Atlantic and the
708	Nordeste region of Brazil, as well as along the equatorial Pacific, while warm surface
709	temperature biases are observed over much of South America and in the off-equatorial
710	regions of the western tropical Pacific (Figure 18a). Consistent with these surface
711	temperature biases, there are wet precipitation biases over the south tropical Atlantic and
712	dry precipitation biases over the north tropical Atlantic and much of South America with
713	the exception of the Nordeste region, indicative of a southward-shift of the Atlantic ITCZ
714	(Figure 18b). Over the tropical Pacific sector, precipitation decreases in a narrow band
715	along the equator and increases north and south of it, particularly over the west-central
716	tropical Pacific. Therefore, with the caveat of potential model dependence, the results do
717	suggest a significant impact of the SETA SST bias on global model simulations of
718	tropical climate. This further underscores the importance and urgency to reduce the
719	SETA SST bias in global climate models.

721 7. Summary and Discussion

Severe SST biases in the TA are a long-standing problem in CGCMs. Although
many of CMIP5 models have improved physics and resolution compared to their
predecessor CMIP3 models, TA SST biases remain virtually unchanged. The strongest

725 SST bias is located at around 16°S near the ABF with a magnitude of more than 6°C in CMIP5 multi-model mean SST. Below the surface along the coast of southern Africa, 726 727 there is a substantial subsurface warm bias that is most pronounced at about 50m. 728 On the equator, the SST bias is closely related to the equatorial westerly surface 729 wind bias during boreal spring, which has been attributed to systematic atmospheric 730 model errors in simulating deep convection over the Amazon region (Richter et al. 731 2012a). South of the equator, the more severe SST biases along the coast of southern 732 Africa have been linked to several mechanisms, including insufficient marine stratus 733 clouds, deficient Benguela upwelling and remote influences from equatorial temperature 734 biases. In this paper, we used CMIP5 datasets combined with reanalyses and observations 735 to test these proposed mechanisms. 736 Consistent with the stratus cloud hypothesis, we find that CMIP5 models 737 overestimate shortwave radiation in the SETA, resulting in a positive heat flux bias on the order of 20 Wm⁻². Although this positive heat flux bias contributes to the warm SST 738 739 bias in the region, the analysis shows that this contribution is overcompensated for by 740 negative biases in latent heat and longwave fluxes. Therefore, the bias in the net surface 741 heat flux is negative in the region and tends to cool, rather than warm, the surface ocean 742 in the absence of other processes. This result also holds in atmosphere-only GCM simulations forced with observed SST and is not sensitive to the choice of averaging 743

region. A comparison between the atmosphere-only GCM and coupled model simulations

reveals a weak stratocumulus-SST feedback in CMIP5 models. Furthermore, there is no

correlation between inter-model SST biases and net heat flux biases. Together these

findings suggest that the stratus cloud bias is unlikely to be the leading cause of the SST

748 bias in the SETA. It is, however, worth noting that none of the CMIP5 models used in the 749 analysis resolves oceanic eddies. Therefore, it is possible that offshore ocean heat 750 transport is underestimated in these models. In this case, a warm SST bias due to poorly 751 simulated stratus clouds may be overcompensated by an increase in latent heat flux 752 and/or upward longwave heat flux. In the southeast tropical Pacific, the field observations 753 (Colbo and Weller, 2007) and model simulations (Toniazzo et al., 2009) indicate that 754 horizontal heat transport induced by oceanic mesoscale eddies can make a significant 755 contribution to the long-term heat budget of the upper ocean. Whether the eddy-induced 756 ocean heat transport also plays a significant role in the local heat budget in the SETA region requires further study. A full understanding of this issue will require enhanced 757 758 field observations in the region and eddy-resolving climate model simulations. Future 759 studies are also needed to explore whether there are dynamical processes by which the 760 near-coast SST bias can have an influence on the off-shore biases under the SETA 761 stratocumulus deck.

762 In terms of coastal upwelling, we found that all CMIP5 models underestimate the 763 Benguela upwelling strength. However, the severity of model upwelling errors is not 764 correlated with the severity of the SETA SST bias, suggesting that upwelling-induced 765 vertical heat advection is not the dominant physical process controlling the SST bias. 766 Instead, the upwelling can indirectly affect the SST bias via horizontal heat advection. 767 This is due to the close dynamical link between the strength of upwelling and that of the 768 BC. The strength of the BC, in turn, determines the position of the ABF, and thus the 769 weak BC in the models is closely linked to their southward displacement of the ABF. 770 Because of the strong temperature gradient near the ABF, errors in the coastal currents

771 can lead to a strong bias in horizontal heat transport that may be as important to the SST 772 biases as the contribution from underrepresented upwelling. A heat budget analysis of 773 CCSM4 and POP2 simulations in the Benguela upwelling region supports this finding. 774 Regarding the remote influence of equatorial temperature biases, we found a 775 statistically significant correlation between both the surface and subsurface temperature 776 biases in the eastern equatorial region and SETA SST biases in CMIP5 models, 777 suggesting that these equatorial biases do contribute the coastal SST bias. This result 778 supports the finding reported by Toniazzo and Woolnough (2013) that the SST errors 779 along the equatorial Atlantic and Benguela-Angola coast are connected via an oceanic 780 "bridge". However, we also noted that the equatorial temperature biases are generally 781 weaker than the SETA biases and therefore unlikely to be the main error source. 782 Finally, motivated by the co-location of the SETA SST bias and the ABF, we 783 examined the correlation between ABF latitude and SST biases in CMIP5. The result 784 shows that the two quantities are correlated at the highest level of statistical significance 785 among all the variables that we analyzed. The correlation coefficient between ABF 786 latitude and SST biases is 0.66. Based on this finding, we propose that the inability of 787 CMIP5 models to realistically simulate the ABF is a major cause of the severe SST bias 788 in the SETA. 789 We further examined whether the erroneous southward displacement of the ABF

789 We further examined whether the erroneous southward displacement of the ABF 790 is caused by surface wind errors in the atmospheric component, or physics and resolution 791 errors in the oceanic component. To this end we compared, for the same period,

simulations of CCSM4 and its oceanic component, POP2, run in stand-alone mode and

forced with COREII best estimates of surface fluxes. The result shows that about 50% of

794 CCSM4 biases in the ABF region come from systematic errors of the ocean model. 795 Some of these errors appear to be directly linked to the coarse resolution of POP2 that 796 cannot resolve the ABF and Benguela upwelling. However, it is unlikely that the bias 797 problem can be solved by simply enhancing model resolutions. Kirtman et al. (2012) 798 assessed the impact of ocean model resolution on CCSM climate simulation. Their results 799 revealed little improvement of the warm SST bias in the SETA region when the ocean 800 model horizontal resolution was increased from 1° to 0.1° , while keeping the atmospheric 801 model resolution intact (their Figure 3). Based on the comparison between CCSM4 and 802 POP2 simulations, we estimate that at least 50% of the SETA SST bias may be attributed 803 to the errors in air-sea fluxes, particularly the momentum fluxes (i.e., wind stresses), of 804 the coupled model. CMIP5 models simulate poorly the low level Benguela jet, resulting 805 in a major bias in the simulated alongshore wind stress. The erroneous wind stress 806 distribution in the models causes an excessive negative wind stress curl along the African 807 coast, which is likely to contribute to the overshoot of the AC in CMIP5 models. 808 Furthermore, there are potential positive feedbacks between the intensity of the Benguela 809 jet and the intensity of the coastal upwelling (Nicholson, 2010), which are not well 810 represented by the CMIP models. Future atmospheric model improvements need to focus 811 on dynamical processes governing the Benguela jet. Improved observations are also 812 needed to provide a more detailed and accurate characterization of the low level jet and 813 the alongshore winds, allowing for better model validation. 814 Finally, we assessed the impact of the SETA SST bias on global climate 815 simulations by conducting a set of twin CAM3-SOM simulations. The results indicate

that even though the SST bias is confined in a relative small region in the southeast

817 Atlantic, its impact goes far beyond the southeast Atlantic. In addition to affecting the 818 Atlantic ITCZ and rainfall pattern over South America, the SETA SST bias exerts a 819 remote influence on rainfall pattern over the western tropical Pacific and exacerbate the 820 double ITCZ problem in that region. Therefore, it is likely that the severe SST bias over 821 the relatively small southeast Atlantic region in current generation climate models can 822 deteriorate simulations of the large-scale atmospheric circulation. As such, understanding 823 causes of the biases and improving climate models' representations of physical processes 824 contributing to this bias should be considered near-term high priority research areas in the 825 climate research community.

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- Table 1. List of CMIP5 and AMIP models used in this study and their corresponding
- 968 institutes and experiment names. Asterisks indicate the AMIP ensemble members and
- 969 apostrophes indicate the CMIP5 ensemble members. The corresponding "historical"
- 970 models for HadGEM2-A and CanAM4 are HadGEM2-ES and CanESM2, respectively.
- 971 Table 2. Frequency of surface forcing input for the POP2 simulation.

Modeling Center (or Group)	Institute ID	Model Name
Commonwealth Scientific and	CSIRO-BOM	ACCESS1.0*'
Industrial Research Organization		ACCESS1.3*'
(CSIRO) and Bureau of Meteorology		
(BOM), Australia		
Beijing Climate Center, China	BCC	BCC-CSM1.1*'
Meteorological Administration		BCC-CSM1.1(m)*'
College of Global Change and Earth	GCESS	BNU-ESM*
System Science, Beijing Normal		
University		
Canadian Centre for Climate	СССМА	CanAM4*
Modelling and Analysis		CanESM2'
National Center for Atmospheric	NCAR	CCSM4*'
Research		
Community Earth System Model	NSF-DOE-	CESM1(BGC)'
Contributors	NCAR	CESM1(CAM5)*'
		CESM1(FASTCHEM)'
		CESM1(WACCM)"
NOAA Geophysical Fluid Dynamics	NOAA GFDL	GFDL-CM3*"
Laboratory		GFDL-ESM2M'
NASA Goddard Institute for Space	NASA GISS	GISS-E2-H'
Studies		GISS-E2-H-CC'

		GISS-E2-R*'
		GISS-E2-R-CC'
Met Office Hadley Centre (additional	MOHC	HadCM3'
HadGEM2-ES realizations contributed	(additional	HadGEM2-A*
by Instituto Nacional de Pesquisas	realizations by	HadGEM2-CC'
Espaciais)	INPE)	HadGEM2-ES'
Institute for Numerical Mathematics	INM	INM-CM4*'
Japan Agency for Marine-Earth	MIROC	MIROC-ESM'
Science and Technology, Atmosphere		MIROC-ESM-CHEM'
and Ocean Research Institute (The		
University of Tokyo), and National		
Institute for Environmental Studies		
Atmosphere and Ocean Research	MIROC	MIROC4h'
Institute (The University of Tokyo),		MIROC5*'
National Institute for Environmental		
Studies, and Japan Agency for Marine-		
Earth Science and Technology		
Max-Planck-Institut für Meteorologie	MPI-M	MPI-ESM-MR*'
(Max Planck Institute for Meteorology)		MPI-ESM-LR*'
		MPI-ESM-P'
Meteorological Research Institute	MRI	MRI-CGCM3*'
Norwegian Climate Centre	NCC	NorESM1-M*'
		NorESM1-ME'

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- apostrophes indicate the CMIP5 ensemble members. The corresponding "historical"
- 977 models for HadGEM2-A and CanAM4 are HadGEM2-ES and CanESM2, respectively.

Surface forcing	Temporal frequency
Surface Wind	6 hourly
Air temperature	6 hourly
Air humidity	6 hourly
Sea level pressure	6 hourly
Precipitation	Monthly
Short-wave radiation	6 hourly
Long-wave radiation	6 hourly

980 Table 2. Frequency of surface forcing input for the POP2 simulation.

983	Figure 1 Multi-model mean SST biases (°C) in (a) CMIP5 and (b) CMIP3, compared to
984	Reynolds SST averaged over the same time period. The difference between CMIP3 and
985	CMIP5 SST biases is shown in (c) and SST zonal gradient averaged between 2°S and
986	2°N is shown in (d). In (d), the green solid line represents the multi-model mean of
987	CMIP5, the red line represents CMIP3 and the black line represents Reynolds SST. The
988	multi-model standard deviation (STD) is indicated by shading in corresponding colors.
989	The box in (a) indicates the SETA region (5-20°E, 30-10°S) where most of the analysis is
990	performed.
991	Figure 2 Subsurface temperature profiles (°C) along the African coast in the east Atlantic
992	basin in (a) CMIP5, (b) NCEP-CFSR, and (c) the difference between CMIP5 and CFSR.
993	The alongshore section is defined as the zonal average over a one-degree wide band
994	along the coastline.
995	Figure 3 21 year (1984-2004) averaged shortwave radiation (W m^{-2}) in (a) OAFlux and
996	(c) CMIP5, and longwave radiation in (b) OAFlux and (d) CMIP5. The black box in (a)
997	indicates the maximum stratocumulus cloud deck region (5°W -10°E, 25-10°S).
998	Figure 4 Each component of surface heat flux and the net heat flux (W m^{-2}) averaged
999	over the SETA region (5°E to 20°E, 30°S to 10°S) (a) and the main stratocumulus deck
1000	region (5°W to 10°E, 25°S to 10°S) (b), respectively, in CMIP5 (red), AMIP(yellow),
1001	OAFlux (green) and the difference (blue). The error bars represent the multi-model
1002	standard deviations in CMIP5 and AMIP.
1003	Figure 5 (a) shortwave radiation, (b) longwave radiation, (c) sensible heat flux and (d)
1004	latent heat flux biases (W m^{-2}) in CMIP5 in the tropical Atlantic. All the biases are
1005	averaged from 1984 to 2004 and relative to OAFlux.

1006 Figure 6 Surface net heat flux (W m⁻²) bias in tropical Atlantic.

1007 Figure 7 Scatter plots of SST bias (°C) averaged from 5°E to 20°E, 30°S to 10°S and (a) heat flux bias (W m⁻²) in the same region, (b) vertical mass transport (kg s⁻¹) averaged 1008 from 10°S to 30°S within 3° along the coast, (c) the equatorial subsurface temperature 1009 1010 bias (°C; averaged over 5°W to 10°E, 2°S to 2°N, and 20m-100m), (d) the upstream SST bias (°C; averaged over 0°-15°E, 10°S to 0°). Each symbol represents one model and the 1011 red dashed line is the linear fit. Red (black) font for R^2 in this and other following scatter 1012 1013 plots indicates that the correlation coefficient passes (does not pass) the 90% significance 1014 level. Figure 8 Scatter plots of alongshore wind stress (N m⁻²) and (a) vertical mass transport 1015 (kg s⁻¹) and (b) SST bias (°C). Averaging areas are 5°E to 20°E, 30°S to 10°S for SST, 1016 1017 African coast to 5° off-shore, 0°S to 30°S for wind stress, and vertical mass transport is 1018 African coast to 3° off-shore, 10°S to 30°S for vertical mass transport.

1019 Figure 9 SST (°C; shading) and surface currents (cm s⁻¹) in the SETA for (a) CFSR, (b)

1020 CMIP5, and SST bias of CMIP5 (shading; bias relative to Reynolds SST) and CMIP5

1021 surface currents (vectors).

1022 Figure 10 Alongshore subsurface meridional current profile (cm s⁻¹) in (a) CMIP5 and (b)

1023 CFSR. The averaging region for meridional velocity is the same as that for temperature in

1024 Figure 4.

1025 Figure 11 Scatter plot of ABF latitude in CMIP5 and SST bias (°C). Each symbol

1026 represents the front location and its corresponding SST bias in one CMIP5 model. The

1027 front location is defined as the latitude where zonally averaged meridional velocity within

1028 3 degree along the coast equals to zero.

- 1029 Figure 12 Surface wind stress (N m⁻²; vectors) and its magnitude (shading) in (a) CMIP5,
- 1030 (b) COREII, and (c) the difference between CMIP5 and COREII. The wind stress is 11
- 1031 year mean from 1997 to 2007. In (c), the shading is the difference between the magnitude
- 1032 of (a) and (b), not the magnitude of vectors in (c).
- Figure 13 Surface wind stress curl (N m⁻³) in (a) COREII and (b) CMIP5 averaged from
 1034 1997 to 2007.
- 1035 Figure 14 Subsurface temperature bias relative to NCEP/CFSR (°C; shading) and zonal
- 1036 ocean currents (cm s⁻¹; contours) profiles in (a) POP2 and (b) CCSM4 averaged from 2°S
- 1037 to 2°N and from 1984 to 2004. The solid contours represent positive (eastward) velocity
- and dashed contours represent negative (westward) velocity.
- Figure 15 SST bias (°C; shading) and surface currents (cm s⁻¹; vectors) in (a) CCSM4 and
 (b) POP.
- 1041 Figure 16 Subsurface temperature biases (°C) in (a) POP and (c) CCSM, relative to
- 1042 CFSR, and meridional current (cm s^{-1}) in (b) POP and (d) CCSM4.
- 1043 Figure 17 Upper 100m oceanic advection and convection heat transport and volume
- transport in the BC region in (a) CCSM4 and (b) POP2.
- 1045 Figure 18 Surface temperature difference (a, in °C) and precipitation difference (b, in
- 1046 mmd⁻¹) between SST-bias run and control run. The regions marked by "+" indicate that
- 1047 the difference is significant at 95% level based a student T-test.
- 1048



1049

1050 Figure 1 Multi-model mean SST biases (°C) in (a) CMIP5 and (b) CMIP3, compared to 1051 Reynolds SST averaged over the same time period. The difference between CMIP3 and 1052 CMIP5 SST biases is shown in (c) and SST zonal gradient averaged between 2°S and 1053 2°N is shown in (d). In (d), the green solid line represents the multi-model mean of 1054 CMIP5, the red line represents CMIP3 and the black line represents Reynolds SST. The 1055 multi-model standard deviation (STD) is indicated by shading in corresponding colors. 1056 The box in (a) indicates the SETA region (5-20°E, 30-10°S) where most of the analysis is 1057 performed.



1058

1059 Figure 2 Subsurface temperature profiles (°C) along the African coast in the east Atlantic

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1061 The alongshore section is defined as the zonal average over a one-degree wide band

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Figure 3 21 year (1984-2004) averaged shortwave radiation (W m⁻²) in (a) OAFlux and (c) CMIP5, and longwave radiation in (b) OAFlux and (d) CMIP5. The black box in (a) indicates the maximum stratocumulus cloud deck region (5°W -10°E, 25-10°S).

1067



1069 Figure 4 Each component of surface heat flux and the net heat flux (W m⁻²) averaged

1070 over the SETA region (5°E to 20°E, 30°S to 10°S) (a) and the main stratocumulus deck

1071 region (5°W to 10°E, 25°S to 10°S) (b), respectively, in CMIP5 (red), AMIP(yellow),

- 1072 OAFlux (green) and the difference (blue). The error bars represent the multi-model
- 1073 standard deviations in CMIP5 and AMIP.





1075 Figure 5 (a) shortwave radiation, (b) longwave radiation, (c) sensible heat flux and (d)

1076 latent heat flux biases (W m⁻²) in CMIP5 in the tropical Atlantic. All the biases are

1077 averaged from 1984 to 2004 and relative to OAFlux.



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1081 Figure 7 Scatter plots of SST bias (°C) averaged from 5°E to 20°E, 30°S to 10°S and (a) heat flux bias (W m^{-2}) in the same region, (b) vertical mass transport (kg s^{-1}) averaged 1082 1083 from 10°S to 30°S within 3° along the coast, (c) the equatorial subsurface temperature bias (°C; averaged over 5°W to 10°E, 2°S to 2°N, and 20m-100m), (d) the upstream SST 1084 bias (°C; averaged over 0°-15°E, 10°S to 0°). Each symbol represents one model and the 1085 red dashed line is the linear fit. Red (black) font for R^2 in this and other following scatter 1086 plots indicates that the correlation coefficient passes (does not pass) the 90% significance 1087 1088 level.



1090 Figure 8 Scatter plots of alongshore wind stress (N m⁻²) and (a) vertical mass transport

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1095 Figure 9 SST (°C; shading) and surface currents (cm s⁻¹; vectors) in the SETA for (a)

1096 CFSR, (b) CMIP5, and SST bias of CMIP5 (shading; bias relative to Reynolds SST) and

1097 CMIP5 surface currents (vectors).



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1106 represents the front location and its corresponding SST bias in one CMIP5 model. The

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1111 Figure 12 Surface wind stress (N m⁻²; vectors) and its magnitude (shading) in (a) CMIP5,

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1116

1117 Figure 13 Surface wind stress curl (N m⁻³) in (a) COREII and (b) CMIP5 averaged from

1118 1997 to 2007.



Figure 14 Subsurface temperature bias relative to NCEP/CFSR (°C; shading) and zonal ocean currents (cm s⁻¹; contours) profiles in (a) POP2 and (b) CCSM4 averaged from 2°S to 2°N and from 1984 to 2004. The solid contours represent positive (eastward) velocity and dashed contours represent negative (westward) velocity.



1127 Figure 15 SST bias (°C; shading) and surface currents (cm s⁻¹; vectors) in (a) CCSM4 and

1128 (b) POP.



1132 Figure 16 Subsurface temperature biases (°C) in (a) POP and (c) CCSM, relative to

1133 CFSR, and meridional current (cm s⁻¹) in (b) POP and (d) CCSM4.





1136 Figure 17 Upper 100m oceanic advection and convection heat transport and volume

1137 transport in the BC region in (a) CCSM4 and (b) POP2.



Figure 18 Surface temperature difference (a, in °C) and precipitation difference (b, in mmd⁻¹) between SST-bias run and control run. The regions marked by "+" indicate that the difference is significant at 95% level based a student T-test.