

1     **On the link between mean state biases and prediction skill in**  
2             **the tropics – An atmospheric perspective**

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23 ABSTRACT

24 The present study examines how mean state biases in sea-surface temperature (SST),  
25 surface wind and precipitation affect model skill in reproducing surface wind and precipi-  
26 tation anomalies in the tropics. This is done using theoretical arguments, atmosphere-only  
27 experiments in the Coupled Model Intercomparison Project Phase 5 (CMIP5), and cus-  
28 tomized sensitivity tests with the SINTEX-F general circulation model. Theoretical ar-  
29 guments suggest that under certain conditions the root mean square error (RMSE) of a  
30 variable can be related to its variance and its mean, which indicates a direct link between  
31 bias and skill. The anomaly correlation coefficient (ACC), on the other hand, is generally  
32 not related to either the mean state or its variance, as several examples document. Multi-  
33 model atmosphere-only experiments with prescribed SST warming suggest that both  
34 ACC and RMSE of surface wind and precipitation are rather insensitive to warming on  
35 the order of 4 K. When SST biases from a free-running control simulation are prescribed  
36 in SINTEX-F, the ACC of surface wind is almost unaffected in the equatorial Pacific and  
37 Atlantic, while that of precipitation decreases noticeably in some regions but also in-  
38 creases in others. The RMSE of both fields shows widespread deterioration. There is a  
39 tendency for warm SST biases to increase the signal-to-noise ratio and sometimes ACC  
40 as well. The results suggest that, in the context of atmosphere-only simulations, improv-  
41 ing SST and precipitation biases does not necessarily improve the skill in reproducing  
42 anomalies of surface wind and precipitation.

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## 44 **1. Introduction**

45       The numerical simulation of weather and climate has made substantial progress over  
46 the last several decades (Edwards 2000; Richter et al. 2016). Nevertheless, systematic  
47 errors continue to pose a challenge to general circulation models (GCMs; de Szoeke and  
48 Xie 2008; Bellenger et al. 2013; Nagura et al. 2015; Richter et al. 2014a). While compu-  
49 tational power has increased tremendously over the last few decades most climate models  
50 still cannot resolve scales below 100 km and even numerical weather prediction typically  
51 cannot resolve scales below 10 km. Due to these limitations to model resolution, many  
52 processes that occur on small spatial scales have to be parameterized. Among these pro-  
53 cesses are cumulus convection, boundary layer turbulence, and cloud microphysics.  
54 While such parameterizations have been reasonably successful, as demonstrated by the  
55 success of numerical weather prediction (NWP) in predicting weather and that of climate  
56 models in reproducing past and current climates, they necessarily involve the use of ap-  
57 proximations, simplifications and ad-hoc assumptions, and also suffer from the limited  
58 availability of observational data. Thus deficiencies in parameterizations are thought to  
59 be the main cause of some of the persistent biases in GCMs, which, in the tropics, include  
60 errors in the mean position of the intertropical convergence zone (ITCZ; Li and Xie  
61 2014), underrepresentation of low-level stratocumulus clouds (Richter 2015), and inade-  
62 quate representation of the intraseasonal oscillation (Hung et al. 2013).

63       With the continuing increase in computing power it is possible that cumulus convec-  
64 tion, whose representation requires a resolution of 5 km or higher, will be explicitly re-  
65 solved over the next one to two decades, thus eliminating the need for cumulus parame-  
66 terization. Boundary layer turbulence and cloud microphysics, on the other hand, require

67 a model resolution that is several orders of magnitude higher and therefore will need to  
68 be parameterized even in the long term. This is one of the reasons why systematic model  
69 errors (or biases) will likely continue to be an issue in GCMs.

70 Much work has been done to identify biases and alleviate them. By way of motiva-  
71 tion, such studies often state that GCM biases deteriorate the skill of seasonal predictions  
72 (as well as undermine confidence in global change projections). The often implicit as-  
73 sumption is that alleviating biases will lead to a more realistic representation of variabil-  
74 ity and more skillful predictions. Few studies, however, have thoroughly investigated this  
75 link between mean state bias and prediction skill. The ones that have been performed do  
76 point to a link but results are sometimes ambiguous. Perhaps the clearest evidence for a  
77 link comes from a study by Manganello and Huang (2009), who used a heat flux correc-  
78 tion scheme to reduce sea-surface temperature (SST) errors in the eastern tropical Pacific.  
79 The flux correction, by design, drastically reduced the SST errors in the model but also  
80 led to more realistic SST variability in the eastern Pacific, with a peak in boreal winter, as  
81 observed, whereas the control simulation produced spurious peaks in spring and summer.  
82 Moreover, the improvement in mean and variability were accompanied by improved El  
83 Niño/Southern Oscillation (ENSO) prediction skill from lead month 6 onward. The au-  
84 thors linked the relatively poor skill in their control model to the spurious variability peak  
85 in summer: predictions initialized in January managed to grow SST anomalies until July  
86 but could not maintain them afterward. Similar results were obtained by Ding et al.  
87 (2015b) who found that climatological surface heat flux correction in a model with pre-  
88 scribed surface momentum anomalies dramatically increased the model's ability to re-

89 produce SST anomalies. They attributed the increased simulation skill to the influence of  
90 SST bias reduction on the climatology of surface wind stress and subsurface temperature.

91 Gualdi et al. (2005) show that increased atmospheric resolution in their model leads  
92 to both a reduced easterly surface wind bias over the equatorial Pacific and generally im-  
93 proved ENSO prediction skill. Lee et al. (2010) used a pattern correlation metric to exam-  
94 ine the relation between mean state and prediction skill at 1-month lead time in the global  
95 tropics for a multi-model ensemble of reforecasts. They find that models with higher pat-  
96 tern correlation for the mean state also tend to have higher pattern correlation for the  
97 anomalies. This intermodel relation varies considerably depending on the season, and is  
98 more pronounced for SST than for precipitation. Magnusson et al. (2013) study the im-  
99 pact of heat and momentum flux correction on the prediction skill of a version of the Eu-  
100 ropean Centre for Medium Range Weather Forecasts (ECMWF) model. They obtain a  
101 slight improvement in SST anomaly correlation coefficient (ACC) in the eastern tropical  
102 Pacific at lead months 6 and 7 for the reforecasts with flux correction.

103 DelSole and Shukla (2010) used the DEMETER multi-model reforecasts to examine  
104 the related issue of whether skill is affected by model drift (i.e. the model's transition  
105 from observation-based initial conditions toward its biased equilibrium state during the  
106 forecast period). Based on the intermodel correlation between skill and bias over the first  
107 three forecast months they concluded that there is a robust inverse relation, particularly  
108 for the tropical Pacific. However, due to the fact that the intermodel correlations were  
109 based on only 7 models, there is some uncertainty regarding the results. It is also not clear  
110 to what extent the drift during the first three forecast months resembles the equilibrium  
111 bias.

112        There is no shortage of studies on the link between mean state biases and variability  
113 errors (e.g. Sperber and Palmer 1996; Guilyardi 2006; Spencer et al. 2007; Jin et al. 2008;  
114 Richter et al. 2014a; Ding et al. 2015a; Deppenmeier et al. 2016) but these studies typi-  
115 cally do not examine how variability errors affect prediction skill. Thus it appears that  
116 much work remains to be done to study the link between seasonal prediction skill and  
117 model performance in terms of mean state and variability. This is an important issue with  
118 practical implications because a deeper understanding of the link between bias and pre-  
119 diction skill can help the community understand which improvement efforts are likely to  
120 yield the highest return in terms of added prediction skill. It might also inform us that  
121 some regions are not likely to benefit much from further model improvement, at least as  
122 far as seasonal prediction skill is concerned. The tropical Atlantic may turn out to be such  
123 a region. On the one hand, SST and surface wind biases are severe there (Davey et al.  
124 2002; Richter et al. 2008; Richter et al. 2014a) and many prediction models still struggle  
125 to beat persistence forecasts, as can be seen in Fig. 1 for a model ensemble from the Cli-  
126 mate Historical Forecast Project (CHFP) intercomparison (Kirtman and Pirani 2009). On  
127 the other hand, it has been shown that, despite severe biases, some models produce rela-  
128 tively realistic variability patterns (Richter et al. 2014a) and that the theoretical predicta-  
129 bility may be much lower than in the tropical Pacific (Richter et al. 2014b). This suggests  
130 that some models are able to capture the relevant atmosphere-ocean coupling and that the  
131 limiting factor for forecast skill in the region might not be model biases but predictability.  
132 In this context it is instructive to consider the results of Tompkins and Feudale (2010),  
133 who showed that an enhanced network of ocean observations could improve the ECMWF

134 forecast skill for the West African monsoon in the absence of any major model improve-  
135 ment.

136       Considering the issues discussed above we argue that it is important to obtain a  
137 deeper understanding of the link between mean state biases and prediction skill. The pre-  
138 sent study aims to take a first step in this direction by focusing on the ability of models to  
139 reproduce surface wind and precipitation anomalies when forced with observed SSTs, i.e.  
140 in an Atmospheric Model Intercomparison Project (AMIP)-style setting. One could con-  
141 sider this as a forecast at lead time 0, and it may provide an upper limit of the prediction  
142 skill one would expect to achieve. This statement should be qualified, however, because  
143 it has been shown that atmosphere-only experiments may misrepresent surface heat flux-  
144 es (Wang et al. 2005; Wu and Kirtmann 2005) and therefore coupling may increase pre-  
145 cipitation skill at short lead times (Kang et al. 2004; Lee et al. 2010; DelSole and Shukla  
146 2012).

147       Before examining model simulations, we will introduce the models and experiments  
148 used in section 2 and discuss some general considerations in section 3. To understand the  
149 impact of mean state SST warming on prediction skill we will examine three experiments  
150 from the Coupled Model Intercomparison Project Phase 5 (CMIP5) in section 4. These  
151 experiments prescribe observed SST from 1979-2008 but one experiment adds a spatially  
152 uniform 4K warming, while another one adds a patterned warming typical of global  
153 warming simulations. The purpose is to test the mean state dependence of the atmospher-  
154 ic response to SST anomalies. The impact of mean state biases will be further examined  
155 in dedicated sensitivity experiments with one particular model in section 5, while sum-  
156 mary and conclusions will be given in section 6.

## 157 **2. Method and experiment description**

158 We examine the influence of biases on skill using two strategies. In the first, we  
159 study how skill is affected by intrinsic atmospheric biases, i.e. how a model's ability to  
160 reproduce surface wind and precipitation anomalies is affected by the respective biases in  
161 those fields. The second method is to examine how skill (in surface wind and precipita-  
162 tion) is affected by errors in the climatology of the SST boundary forcing. Details are  
163 given in the following.

### 164 **2.1. CMIP5 experiments**

165 We use AMIP-type simulations performed by several modeling centers for the  
166 CMIP5 model intercomparison. The basic experiment, called AMIP, consists of an at-  
167 mospheric GCM (AGCM) forced with observed SST for the period 1979-2008. Monthly  
168 mean SSTs are interpolated to daily values. This experiment will be used to pursue the  
169 first strategy, i.e. exploring the influence of intrinsic atmospheric biases on skill. The SST  
170 boundary forcing is essentially the same in all models (except for interpolation errors)  
171 and thus we expect bias and skill of our fields of interest to be determined mainly by the  
172 atmospheric model component. It is, of course, possible that extraneous influences, e.g.  
173 from the land surface model obscure the bias-skill relation.

174 Two additional AMIP-type experiments in the CMIP5 archive allow us to pursue the  
175 second strategy, i.e. examining the sensitivity of skill to errors in the SST boundary forc-  
176 ing. In experiment amip4K a constant value of 4 K is added to the AMIP SST every-  
177 where over the ice-free oceans. Experiment amipFuture adds a warming pattern that is  
178 also constant in time, but varies in space. The pattern is derived from the ensemble aver-  
179 age of several global warming simulations and thus includes, among others, warming that



180 is enhanced at the equator. The original purpose of amip4K and amipFuture was to ex-  
181 plore various aspects of climate change. In the present study, on the other hand, we are  
182 not concerned with climate change, but rather use these experiments as a convenient way  
183 to investigate how the surface wind and precipitation responses are affected by unrealistic  
184 SST values (“unrealistic” in the sense of being inconsistent with the present-day green-  
185 house gas forcing applied in the models), with the standard AMIP experiment serving as  
186 our control.

187 To compare experiments, we calculate the ensemble average over a set of 11 models  
188 (Table 1), which is the largest subset that performed all three experiments. The resulting  
189 time series spans the period 1979-2008 (360 monthly means). The multi-model climatol-  
190 ogy and anomalies are calculated based on this ensemble average. For experiment AMIP,  
191 we also analyze intermodel correlations, with climatology and anomalies calculated for  
192 each model separately.

## 193 **2.2. Sensitivity experiments with SINTEX-F**

194 While the SSTs in amip4K and amipFuture provide a good opportunity to explore  
195 surface wind and precipitation sensitivity to the mean state, the SST distributions are  
196 quite different from typical model biases. To test specifically how SST biases affect the  
197 ability of a model to reproduce surface wind and precipitation we perform experiments  
198 with one particular model, the SINTEX-F GCM. This model was developed under the  
199 European Union-Japan collaboration project (Luo et al. 2003) and is based on the Euro-  
200 pean SINTEX model (Gualdi et al. 2003). The version used here consists of the ECHAM  
201 4.6 AGCM (Roeckner et al. 1996), the OPA 8.2 oceanic GCM (OGCM; Madec et al.  
202 1998), and the OASIS 2.4 coupler (Valcke et al. 2000). The atmospheric resolution is  
203 T106 (approximately  $1.1^\circ$ ), with 19 vertical levels, 4-5 of which are inside the planetary

204 boundary layer. The oceanic resolution is  $2^\circ \times 2^\circ$  with the meridional resolution increas-  
205 ing to  $0.5^\circ$  at the equator. The OGCM has 31 vertical levels, 19 of which lie within the  
206 top 400m.

207 The control experiment (CTRL hereafter) is a simulation in which SSTs are strongly  
208 restored to the Optimally Interpolated SST (OISST; Reynolds et al. 2002) observations  
209 from 1982 to 2014. The strong restoring results in SST boundary conditions that are very  
210 similar to an AMIP-type simulation but may differ from observations on the order of 0.1  
211 K. CTRL comprises 9 ensemble members, which are generated by using three restoring  
212 time scales (1-day, 2-day, 3-day) and three settings for the surface momentum flux for-  
213 mulation (Luo et al. 2005).

214 We perform two sensitivity tests, both of which use SSTs from a free-running 500-  
215 year control simulation (FR-CTRL). In the first experiment (Atl\_bias), SST biases from  
216 FR-CTRL are imposed on the tropical Atlantic between  $30^\circ\text{S}$  and  $30^\circ\text{N}$ . This is achieved  
217 by subtracting OISST climatology (stratified by calendar month) from the original SST  
218 boundary conditions and adding the corresponding FR-CTRL climatology values. Thus  
219 the SST anomalies are the same as in OISST but the mean state in the tropical Atlantic is  
220 that of FR-CTRL and therefore features all the biases of the latter. In the second experi-  
221 ment (Pac\_bias), an analogous procedure is applied to the tropical Pacific between  $30^\circ\text{S}$   
222 and  $30^\circ\text{N}$ . Experiments with SST restoring in various regions using SINTEX-F were also  
223 performed by other authors, e.g. Sasaki et al. (2015), but none of these restored the SST  
224 to a biased state. The sensitivity experiments also consist of 9 ensemble members, which  
225 were generated by perturbing the SST boundary conditions with random values of 0.01 K  
226 amplitude.

227 Our reference data set for surface wind is the European Centre for Medium Range  
 228 Weather Forecasts (ECMWF) Interim reanalysis (Dee et al. 2011). For precipitation we  
 229 use the Global Precipitation Climatology Project (GPCP) version 2.2, which is a blend of  
 230 satellite and station data (Adler et al. 2003).

### 231 **3. General considerations**

#### 232 **3.1. Relation between MSE, ACC, and biases**

233 Here we examine whether there is an explicit mathematical link between biases (in  
 234 both mean and variability) on the one hand and prediction skill on the other. The  
 235 measures of prediction skill examined here are the anomaly correlation coefficient (ACC)  
 236 and the mean square error (MSE). ACC is defined as the Pearson correlation coefficient  
 237 between the predicted ( $p$ ) and observed anomalies ( $o$ ):

$$238 \quad r(p, o) = \frac{\sum_{i=1}^n (p_i - \bar{p})(o_i - \bar{o})}{\sqrt{\sum_{i=1}^n (p_i - \bar{p})^2} \sqrt{\sum_{i=1}^n (o_i - \bar{o})^2}}$$

239 where the overbar denotes the seasonally stratified climatological time average,  $p_i$   
 240 and  $o_i$  are the seasonally stratified total values, and  $i$  is the time index. Likewise, MSE is  
 241 defined through the following equation:

$$242 \quad MSE^*(p, o) = \frac{1}{n} \sum_{i=1}^n (p_i - o_i)^2,$$

243 where the asterisk indicates the use of total fields in the calculation of MSE. ACC  
 244 and  $MSE^*$  are related through the following equation (e.g. Barnston 1992):

$$245 \quad MSE^*(p, o) = std^2(p) + std^2(o) - 2std(p)std(o)r(p, o) + b^2(p) \quad (1)$$

246 where  $p$  and  $o$  denote the total fields,  $std$  is standard deviation, and  $b(p)$  is the mean  
 247 bias of the prediction, i.e.  $b = \bar{p} - \bar{o}$ . In (1),  $MSE^*$  and bias are explicitly related because

248 the total fields are used. Once the seasonal mean is removed, as is routinely done in sea-  
249 sonal prediction, the bias term drops out and (1) becomes

$$250 \quad MSE(p, o) = std^2(p) + std^2(o) - 2std(p)std(o)r(p, o) \quad (2)$$

251 Eq. 2 shows that MSE decreases with increasing ACC. Further, if the predicted vari-  
252 ance is much larger than the observed one, it is easy to see that the first term on the right-  
253 hand side of (2) dominates (independent of ACC). In this case, MSE is essentially deter-  
254 mined by the standard deviation of the prediction model. Likewise, if  $std(o) \gg std(p)$   
255 then  $std(o)$  dominates MSE.

256 In the case of  $std(p) = std(o)$ , i.e. predicted standard deviation is error-free, (2) can  
257 be rearranged to give  $MSE(p, o) = 2std^2(o)[1 - r(p, o)]$ , which states that MSE is a  
258 simple function of ACC and the observed variance (which equals the predicted variance).

259 Last, if ACC is close to 1, (2) can be approximated as  $MSE(p, o) = std^2(p) +$   
260  $std^2(o) - 2std(p)std(o)$ , or, after some manipulation,

$$261 \quad RMSE(p,o) = |std(p) - std(o)| \quad (3)$$

262 where RMSE is the root mean square error and the vertical bars denote the absolute  
263 value function. (3) suggests that, for ACC close to 1, RMSE is proportional to the abso-  
264 lute difference between the predicted and observed standard deviations. We test this rela-  
265 tion for precipitation in the Niño 3.4 region (170°-120° W, 5° S-5°N) using the AMIP  
266 multi-model ensemble. Figure 2a scatters RMSE versus the RHS of (3). The ACC is 0.9  
267 or higher in most models so that the approximation used to derive (3) holds reasonably  
268 well. This is borne out by the high intermodel correlation coefficient of 0.96. RMSE is a  
269 little higher than what would be expected if (3) were to hold exactly, presumably due to  
270 the ACC being less than one (see Fig. 3a).

271 It is intuitively obvious that errors in the predicted variance will affect RMSE and so  
272 the high degree of intermodel correlation is essentially down to the ACC being consist-  
273 ently high across models. However, for an intermittent, positive definite variable like  
274 precipitation there is another aspect to Eq. (3), which may link skill to the mean state.  
275 Since precipitation is often zero but never less than zero, areas of high precipitation in the  
276 mean are also often areas of high precipitation variability. We thus suspect that regions  
277 with a wet precipitation bias also feature excessive variance and a high RMSE. Assuming  
278 an exact relation between mean and variance, Eq. (3) can be transformed into

$$279 \quad RMSE(p, o) = c \cdot |\bar{p} - \bar{o}| = c \cdot |b(p)| \quad (4)$$

280 where  $c$  is a constant relating standard deviation of precipitation to its mean. To what  
281 extent this simple relation holds is examined in Fig. 2b, where, for each model, the  
282 RMSE of precipitation is scattered against the bias in the Niño 3.4 region. The intermodel  
283 correlation is 0.61, which is significant above the 99% level. As in Fig. 2a, there is an  
284 offset in the relation, which indicates the influence of the ACC.

### 285 **3.2. Empirical link between ACC and bias**

286 We have seen that, for the Niño 3.4 region, there is a moderately strong relation be-  
287 tween mean state bias and RMSE of precipitation in regions where ACC is high. A more  
288 interesting question is whether there also is a relation between mean state and ACC. It  
289 can be seen from the definition of ACC that any linear transformation of the operands (i.e.  
290 observed and predicted time series) will leave its value unchanged. Intuitively one might  
291 expect severe biases (such as an ITCZ location bias) to affect ACC but such mean state  
292 biases do not figure into the mathematical definition of ACC (excepting the pathological  
293 case in which at least one of the time series is constant). Thus, based on the definition of  
294 ACC, there is no a-priori reason to expect that it should be influenced by errors in the

295 mean state or variance. We can nevertheless examine whether there is empirical evidence  
296 for a link. To get a global view we show (seasonally unstratified) ACC and the annual  
297 mean bias of precipitation for the AMIP multi-model mean (Fig. 3a). There is a tendency  
298 for high ACC to be accompanied by small biases, particularly in the central and eastern  
299 equatorial Pacific and northern Indian Ocean. Other areas, like the equatorial Atlantic,  
300 tend to show the opposite behavior, i.e. high ACC accompanied by strong biases. The  
301 pattern correlation is 0.15, which is not significant at the 5% level. Surface zonal wind  
302 (Fig. 3b) also shows a variety of patterns, with high ACC in the eastern Indian Ocean al-  
303 most collocated with the maximum easterly wind bias, while in the western equatorial  
304 Atlantic high ACC exists where the bias is very small. The pattern correlation between  
305 the two fields is -0.20, which is significant at the 5% level.

306 We further examine the relationship between bias and ACC in terms of intermodel  
307 spread. The Niño 3.4 June-July-August (JJA) precipitation is overestimated in most  
308 AMIP models and this anticorrelates with ACC at -0.68 (Fig. 4b). For precipitation over  
309 the equatorial Atlantic, on the other hand, the intermodel correlation is weakly positive,  
310 indicating a slight tendency for models with larger biases to have higher ACC (Fig. 4a, c).  
311 No significant relation exists for the equatorial Indian Ocean. Precipitation indices over  
312 monsoon regions (West Africa, South America, and India) show a similarly weak inter-  
313 model correlation (Fig. 4d-f). Furthermore, the general lack of useful prediction skill is  
314 striking. If the threshold is set at 0.5, a rather generous value, useful skill is only reached  
315 by one model each for West Africa and South America, while no model shows useful  
316 skill over India. As shown by previous studies, the absence of coupled feedbacks in  
317 AMIP experiments reduces the models' ability to reproduce precipitation patterns (Kang

318 et al. 2004; Lee et al. 2010; DelSole and Shukla 2012) and thus skill may be somewhat  
319 higher in a seasonal prediction setting. Nevertheless, the generally low skill in these state-  
320 of-the-art models underscores the challenge of predicting rainfall in the monsoon regions,  
321 consistent with the study by Wang et al. (2009). As stated in their study, land surface ini-  
322 tialization may be one way of improving skill for those regions.

323 A few examples of the ACC-bias relation for surface zonal wind are given in Fig. 5.  
324 For the western equatorial Atlantic (40-20°W, 2°S-2°N; WEA hereafter) most models  
325 show the familiar westerly bias in March-April-May (MAM; Fig. 5a) as documented in  
326 Richter et al. (2008) and Richter et al. (2014a). Despite noticeable biases many models  
327 achieve an ACC of 0.8 or higher. A systematic relation between bias and ACC is not dis-  
328 cernible. For the Niño 4 region (160°E-150°W, 5°S-5°N) in MAM, models are about  
329 evenly split into groups of westerly and easterly biases (Fig. 5b). There is a weak tenden-  
330 cy for models with stronger easterly mean wind to have higher ACC (as indicated by the  
331 intermodel correlation of -0.44), even when the mean wind is more easterly than ob-  
332 served. The JJA surface zonal winds over the equatorial Indian Ocean (50-95°E, 5°S-5°N)  
333 are too easterly in most models (Fig. 5c) and this is moderately correlated with a decrease  
334 in ACC (intermodel correlation 0.48). Overall, both precipitation and surface zonal wind  
335 tend to have higher ACC in those models with smaller biases but this is true only in some  
336 regions of the global tropics and many counter examples exist, most notably the equatori-  
337 al Atlantic.

338 The tropical Pacific is known to have worldwide teleconnections (e.g. Horel and  
339 Wallace 1981) and thus one might expect to find that tropical Pacific precipitation biases  
340 adversely affect skill in other regions of the world. We examine this by repeating the

341 analysis in Figs. 4 and 5 but with precipitation averaged over the Niño 3.4 region on the  
342 x-axis (not shown). Of the six regions shown in Fig. 4, only three show significant inter-  
343 model correlations. Apart from the tropical Pacific (see Fig. 4b) these are the tropical In-  
344 dian Ocean and the Indian monsoon index (inter model correlations -0.42 and -0.37, re-  
345 spectively). For the latter, individual ACCs are low (see Fig. 4f), so that the tropical Indi-  
346 an Ocean relation appears to be the most interesting. According to this relation, models  
347 with pronounced positive precipitation bias in the Niño 3.4 region during boreal fall tend  
348 to have very low ACC for precipitation over the tropical Indian Ocean. Relating the sur-  
349 face wind ACCs shown in Fig. 5 to mean precipitation in the Niño 3.4 region does not  
350 produce any significant correlations. A more detailed investigation into the remote impact  
351 of tropical Pacific biases, particularly for the Indian Ocean, might produce interesting re-  
352 sults but is out of scope for the present study.

#### 353 **4. Comparison of AMIP, amip4K, and amipFuture**

354 In this section we examine multi-model ensemble means of three experiments in the  
355 CMIP5 archive (see Table 1 for a list of ensemble members). In amip4K, the prescribed  
356 SSTs are uniformly warmed by 4 K, relative to AMIP (Fig. 6b). This leads to a noticeable  
357 precipitation increase over the tropical Pacific and a more moderate increase over the  
358 tropical Atlantic and Indian Oceans. In amipFuture a typical global warming pattern is  
359 added to the AMIP SST, with enhanced warming in the deep tropics that is about 1 K  
360 warmer than in amip4K. Precipitation appears to respond in a non-linear way as values  
361 over the equatorial regions increase markedly compared to amip4K. Perhaps the most  
362 striking difference is seen over the eastern equatorial Pacific, where precipitation above 3  
363 mm/day extends much farther east than in either AMIP or amip4K. The non-linear re-



364 sponse in amipFuture is consistent with the result of previous studies that demonstrate the  
365 importance of the equatorial warming enhancement to rainfall patterns (Xie et al. 2010;  
366 Sobel and Camargo 2012; Huang et al. 2013).

#### 367 **4.1. Impact of SST warming on skill in the tropical Pacific**

368 The ACC of Niño 4 surface zonal wind is almost unchanged in amip4K and amip-  
369 Future (Fig. 7a), despite pronounced precipitation changes (Fig. 6). In all experiments  
370 ACC is quite high and generally ranges between 0.8 and 0.9. This illustrates the strong  
371 influence of SSTs on surface winds in the tropical Pacific (consistent with Lindzen and  
372 Nigam 1987, and Zebiak and Cane 1987), an important part of the ENSO feedback loop  
373 (Bjerknes 1969; Neelin et al. 1998). ACC is even higher for precipitation in the Niño 3.4  
374 region (Fig. 7b) with values up to 0.98 in AMIP and amip4K. Here amipFuture shows a  
375 noticeable decrease relative to the other two experiments, with ACC reduced by as much  
376 as 0.1 in April and May though this decrease is not statistically significant at the 95%  
377 level, based on Fisher's z transformation.

378 The higher SSTs in amip4K and amipFuture give rise to more intense precipitation  
379 over the tropics, which should increase variability and thus RMSE (see section 3). More-  
380 over, since convection enhances the surface wind response to SST anomalies (Zebiak  
381 1986; Richter et al. 2016) we also expect to see an increase in surface wind variability  
382 and, potentially, its RMSE. There is an opposing effect from the increase of atmospheric  
383 moisture and the stabilization of the atmospheric column (Held and Soden 2006). In the  
384 experiments under consideration, however, the variance of surface zonal wind does in-  
385 crease in the tropics (not shown). Consequently, the RMSE of surface zonal wind in the  
386 Niño 4 region (Fig. 7c) shows a more obvious skill deterioration as was the case for ACC,  
387 particularly for amipFuture. Nevertheless, the increase of RMSE relative to AMIP does

388 not exceed 25% and none of the differences are significant at the 95% level, according to  
389 an F-test. For Niño 3.4 precipitation, the RMSE is more seriously affected (Fig. 7d), with  
390 values increasing by about a factor of 3 in amipFuture (statistically significant for all  
391 months). In amip4K the increase is only 10-20% and is only statistically significant in  
392 December.

393 The deterioration of precipitation RMSE in amipFuture points to a nonlinear re-  
394 sponse of precipitation and its variability in the equatorial Pacific region. Such nonlinear-  
395 ity is considered to play an important part in the skewness of ENSO (e.g. An and Jin  
396 2004; Frauen and Dommenges 2010) and in the changes of ENSO variability under glob-  
397 al warming (Power et al. 2013; Zheng et al. 2016). It is remarkable, however, that ACC is  
398 not much affected by this nonlinearity.

399 The area with the strongest decline in ACC is the central equatorial Pacific in MAM  
400 (not shown). Analysis of this area (160-120°W, 5°S-5°N) reveals that observations, amip  
401 and amip4K all feature a highly non-linear relationship between precipitation and under-  
402 lying SST (not shown): as SSTs exceed 28 °C (32 °C in the case of amip4K) the precipi-  
403 tation response becomes much more pronounced. In amipFuture, on the other hand, the  
404 relation is approximately linear, which leads to its decreased ACC.

#### 405 **4.2. Impact of SST warming on skill in the tropical Atlantic**

406 In the WEA, the ACC of surface zonal wind anomalies is above 0.7 from April  
407 through June (Fig. 8a), with substantially lower values in other months. For the most part,  
408 ACC is very similar across experiments though AMIP tends to have higher values in bo-  
409 real fall. These differences, however, are not statistically significant and, furthermore,  
410 skill in all three experiments is well below the usefulness level.

411 The ACC of precipitation over the equatorial Atlantic (50°W-10°E, 5°S-5°N; EQATL  
412 hereafter) exceeds 0.8 from May through July in all three experiments (Fig. 8b), which  
413 indicates a robust response to the pronounced SST anomalies that occur in that season  
414 (e.g. Carton and Huang 1994; Xie and Carton 1994; Richter et al. 2014a). In other  
415 months, ACC in the warming experiments both rises above and drops below the AMIP  
416 reference so that, on the whole, SST warming appears to have no systematic effect on the  
417 ACC of equatorial Atlantic precipitation.

418 RMSE of surface zonal wind over the western equatorial Atlantic tends to improve in  
419 the warming experiments from March through June (Fig. 8c), while in other months dif-  
420 ferences tend to be very small. None of these changes are statistically significant.

421 The RMSE of precipitation, on the other hand, increases significantly in the warming  
422 experiments, as expected from the increased mean (Fig. 6) and variability (not shown).  
423 This is particularly evident in amipFuture, where differences are statistically significant  
424 in several months.

## 425 **5. Sensitivity tests with SINTEX-F**

426 We first discuss the annual mean climatology of the SINTEX-F experiments. Biases  
427 generally have significant seasonal variability, particularly in the tropical Atlantic (Rich-  
428 ter and Xie 2008). Nevertheless, the annual mean biases already feature many of the sali-  
429 ent model errors. In the interest of brevity, we therefore discuss the annual mean biases  
430 only.

431 In experiment Atl\_bias the tropical Atlantic SST of the SINTEX-F AMIP-like CTRL  
432 experiment is replaced with the biased climatology of the free running control simulation.  
433 This leads to annual mean SST in the eastern tropical Atlantic being up to 3 K warmer

434 than in either CTRL or the observations (Fig. 9c). The Atlantic ITCZ responds by broad-  
435 ening meridionally, as can be seen from the increased precipitation south of the equator  
436 in Fig. 9c. Precipitation also changes in some other regions, with increase in the equatori-  
437 al Indian Ocean and decrease in the South Pacific Convergence Zone (SPCZ).

438 In experiment Pac\_bias (Fig. 9d) the equatorial Pacific SSTs are warmer than ob-  
439 served, particularly in the eastern basin. The SPCZ intensifies, extends further eastward,  
440 and becomes more zonally oriented, while the north-equatorial ITCZ weakens. This leads  
441 to a pronounced double ITCZ structure, a common bias in GCMs (de Szoeke and Xie  
442 2008; Li and Xie 2014). Precipitation in other basins is not affected much.

443 Tropical precipitation is overestimated in all three experiments, a common problem  
444 in GCMs (Richter et al. 2016) that is most apparent over the Pacific warm pool. Note that  
445 for the latter region the precipitation differences across the experiments are relatively  
446 small compared to their difference from observations.

447 Surface zonal wind is biased westerly over the central and eastern equatorial Pacific  
448 in CTRL (Fig. 9b). A westerly bias is also seen over the equatorial Atlantic, which is typ-  
449 ical of most GCMs (Richter et al. 2008). When SST biases are prescribed in the tropical  
450 Atlantic the westerly bias intensifies (Fig. 9c). This demonstrates the amplification of  
451 westerly wind biases in AGCMs by SST biases, as shown for CMIP3 (Richter et al.  
452 2008) and CMIP5 (Richter et al. 2014a) models. In Pac\_bias the westerly wind bias over  
453 the equatorial Pacific deteriorates noticeably (Fig. 9d). This is consistent with the surface  
454 winds responding to the reduced zonal SST gradient.

### 455 **5.1. Impact of SST biases on skill in the Tropical Pacific**

456 The ACC of surface zonal wind in the Niño 4 region (Fig. 10a) shows that the skill  
457 of CTRL is comparable to that of the AMIP ensemble (Fig. 7a). Overall, skill scores in

458 the equatorial Pacific are relatively robust to the presence of SST biases (Fig. 10). The  
459 ACC of Niño 4 surface zonal wind is essentially the same in all three experiments, with  
460 differences less than 0.05 that are not statistically significant at the 95% level (Fig. 10a).  
461 The ACC of Niño 3.4 precipitation (Fig. 10b) decreases significantly in January, May,  
462 and August but never by more than 0.1.

463 A horizontal map of ACC for precipitation in MAM is presented in Fig. 11. CTRL  
464 shows maximum ACC in the eastern equatorial Pacific. The difference plot (Fig. 11b)  
465 reveals that the Niño 3.4 region chosen for Fig. 10 includes areas of both significant in-  
466 crease and decrease in ACC. The most evident decrease is in the eastern tropical Pacific,  
467 where CTRL had high skill (Fig. 11a). Significantly increased ACC is found in the west-  
468 ern equatorial Pacific, and in the far eastern Pacific centered at 10°S and 10°N. The  
469 changes in ACC very roughly correspond to those in mean precipitation in that both tend  
470 to decrease on the equator and increase away from it (Fig. 11b).

471 We examine the region of the largest ACC decrease (140-105°W, 5°S-5°N; EEP  
472 hereafter) by scattering simulated vs. observed MAM precipitation (Fig. 12a). It is evi-  
473 dent that both mean and variability of precipitation are reduced in Pac\_bias (see also Fig.  
474 11b). The two points with the highest precipitation in the GPCP observations correspond  
475 to the years 1983 and 1998, both of which followed exceptionally strong El Niño events.  
476 CTRL reproduces these high precipitation events fairly well while Pac\_bias does not,  
477 which contributes to the drop of ACC from 0.95 in CTRL to 0.55 in Pac\_bias.

478 Convection in the tropics is thought to be sensitive to the absolute value of the under-  
479 lying SST (Graham and Barnett 1987) though there may be no critical threshold (Zhang  
480 1993). We examine to what extent background SST changes in the EEP contribute to the

481 drop in ACC by scattering precipitation versus SST, both averaged over the EEP (Fig.  
482 12b). While the EEP has a warm bias in the annual mean (Fig. 9d), in MAM it is cooler  
483 than observed by about 0.3 K (Fig. 12b). Convection in the tropics can be sensitive to  
484 small SST changes, but closer inspection of Fig. 12b shows that, even for the same SST  
485 values, Pac\_bias has much lower precipitation than CTRL or the observations. Further-  
486 more, in Pac\_bias, precipitation for some SST values below 28°C turns out to be higher  
487 than that for SST above 28°C. Thus the local SST change does not seem sufficient to ex-  
488 plain the drastic reduction of mean precipitation in the region (Fig. 11b).

489 A meridional section averaged from 140-105°W (Fig. 13) reveals an SST decrease of  
490 almost 1 K just north of the equator, which is partially offset by an increase south of the  
491 equator and therefore not apparent in the area average. Additionally, there is a warm bias  
492 of almost 2 K further poleward in both hemispheres for Pac\_bias (Fig. 13). This is ac-  
493 companied by anomalous subsidence and lower tropospheric divergence over the equato-  
494 rial region and anomalous rising motion off the equator in both hemispheres. The analysis  
495 suggests that SST biases both in the EEP and in the subtropics create an environment in  
496 the EEP that is less conducive to convection. This makes it more susceptible to factors  
497 other than the underlying SST.

498 The RMSE of surface zonal wind in the Niño 4 region is almost unchanged in  
499 Pac\_bias (Fig. 10c), with no significant differences in any month. The RMSE of precipi-  
500 tation in the Niño 3.4 region (Fig. 10d) significantly decreases in April and October. This  
501 improvement in RMSE is explained by the decreased variability in Pac\_bias (not shown,  
502 but inferable from the precipitation decrease in Fig. 11b; see Eq. 3). There also is a pro-  
503 nounced and significant increase of RMSE in December.

504 It is interesting to note that the RMSE of precipitation consistently increases in the  
505 Atl\_bias experiment (Fig. 10d) though this is only statistically significant in January and  
506 August. The result suggests remote influences on the Pacific from the severe tropical At-  
507 lantic SST bias.

## 508 **5.2. Impact of SST biases on skill in the Tropical Atlantic**

509 CTRL reproduces the surface zonal wind anomalies in the WEA with an ACC of ap-  
510 proximately 0.8 from April through June (Fig. 14a), comparable to the performance of  
511 the AMIP multi-model ensemble (Fig. 8). Atl\_bias features slightly lower ACC in those  
512 months but also higher ACC in other months. None of the differences are statistically  
513 significant. The ACC of precipitation for the EQATL index in CTRL is highest from  
514 May through July (Fig. 14b). The ACC in Atl\_bias reduces in most months (significantly  
515 so in April, May and December). An increase of ACC occurs in August and September  
516 but is not statistically significant.

517 RMSE deteriorates more markedly than ACC (Fig. 14cd), with significant differ-  
518 ences in many months. This is a consequence of the warm SST bias in Atl\_bias, which  
519 increases both mean (Fig. 15) and variability (not shown) of precipitation, exacerbating  
520 the biases in CTRL. Excessive variability in precipitation directly leads to a high RMSE  
521 (Eq. 3) and, through its impact on wind variability, indirectly contributes to the high  
522 RMSE of that quantity.

523 The horizontal map of climatological precipitation in CTRL, averaged over April  
524 through June (AMJ; Fig. 15a), shows maximum precipitation off the West African coast  
525 at about 5°N. ACC, on the other hand, is highest over the central equatorial Atlantic. In  
526 response to the warm bias in Atl\_bias, the ITCZ shifts southward, resulting in precipita-  
527 tion decrease north of the equator and increase south of it (Fig. 15b). This behavior is

528 roughly mirrored by the ACC, similarly to the Pacific case. Only some areas feature sta-  
529 tistically significant changes. For the ACC of surface zonal wind, the horizontal map  
530 shows the highest skill in the western equatorial Atlantic off the coast of Northeast Brazil  
531 (Fig 15c). In Atl\_bias, this area only shows a slight decrease (Fig. 15d and Fig. 14a) but  
532 further east the impact is more visible, though still not statistically significant.

533 We examine how the SST biases affect the precipitation response to equatorial warm  
534 events by compositing SST and precipitation anomalies in July for Atlantic Niño years  
535 (1984, 1988, 1991, 1995, 1996, 1999, 2008) and plot horizontal maps for the observa-  
536 tions and the two experiments (Fig. 16). The observations show wet precipitation anoma-  
537 lies between the equator and 10°N extending over northeast Brazil to the west and Africa  
538 to the east (Fig. 16a). The most pronounced rain anomalies occur off Northwest Africa  
539 and over the central equatorial Atlantic, while maximum SST anomalies occur in the  
540 eastern equatorial Atlantic (ATL3 region). Large areas of warm SST anomalies in the  
541 tropical southeast Atlantic are not accompanied by increased precipitation. CTRL, which  
542 is forced with essentially the same SST field, reproduces the precipitation response fairly  
543 well although precipitation anomalies are too intense (by a factor 3 approximately) and  
544 too narrow in the meridional direction (Fig. 16b). Precipitation anomalies are unrealistic  
545 in Atl\_bias because, in addition to being excessive, they are shifted southeastward (Fig.  
546 17c). To a first approximation, the precipitation response in Atl\_bias just follows the un-  
547 derlying SST anomaly pattern, which is not the case in the observations and CTRL. Pat-  
548 tern correlation with observations for the area 50°W-10°E, 10°S-10°N yields 0.72 and -  
549 0.02 for CTRL and Atl\_bias, respectively, thus confirming the visual impression. The  
550 drastic deterioration of the precipitation response in Atl\_bias thus appears to be due to the



551 unrealistic sensitivity to the underlying SST anomalies. This in turn, directly relates to the  
552 mean state SST bias, which is most severe in the southeastern tropical Atlantic (Fig. 9c)  
553 and thus creates an environment that is unrealistically conducive to deep convection.

554 The increased sensitivity to SST anomalies in Atl\_bias, however, can also lead to in-  
555 creased skill by allowing a robust precipitation response to emerge that would otherwise  
556 be drowned out by atmospheric internal noise and remote influences. This is suggested by  
557 the increased ACC of precipitation over the tropical southeast Atlantic (Fig. 15b). We  
558 quantify this by calculating the signal-to-noise ratio (SNR) for the tropical Atlantic. An  
559 easy way to estimate SNR is to calculate ensemble mean variance divided by intra-  
560 ensemble variance. The result for AMJ confirms that SNR is indeed increased over the  
561 southeastern tropical Atlantic (shading in Fig. 17). This roughly corresponds with the  
562 SST bias during the season (contours in Fig. 17), though this relation is certainly compli-  
563 cated by other factors. Compositing events with anomalously high precipitation over the  
564 southeastern tropical Atlantic (10°W-10°E, 10°S-0) confirms higher skill in Atl\_bias for  
565 this particular region (not shown).

## 566 **6. Summary**

### 567 **6.1. Summary**

568 We have investigated the link between GCM biases and prediction skill in the tropics  
569 through theoretical considerations and AMIP-style sensitivity tests. Our metrics for mod-  
570 el skill have been RMSE and ACC, and we have applied these to the variability of sur-  
571 face winds and precipitation.

572 Taking the well-known relation among RMSE, standard deviation and ACC as our  
573 starting point (Eq. 2), we have shown that, if ACC is close to 1, there is a simple relation

574 between RMSE and the observed and simulated standard deviations that holds for any  
575 field. Thus, RMSE becomes a simple function of the simulated standard deviations. For  
576 areas in which models have consistently high skill, such as the equatorial Pacific, this re-  
577 lation clearly emerges in a multi-model scatter plot of AMIP models (Fig. 2a). For a posi-  
578 tive definite field like precipitation, there is a relatively close relation between mean and  
579 variability. This establishes a link between the mean and RMSE of precipitation or, in  
580 other words, bias and skill. A multi-model scatter plot suggests that this relation holds  
581 reasonably well for the equatorial Pacific.

582 By definition, ACC is not explicitly related to the mean state and, consistently, mul-  
583 ti-model plots scattering the ACC against bias of precipitation do not reveal a systematic  
584 link, except for the equatorial Pacific (Figs. 3, 4b). For the three monsoon regions exam-  
585 ined, the scatter plots also suggest a general absence of useful prediction skill, although  
586 the lack of coupled feedbacks in AMIP may contribute to this. Equatorial surface zonal  
587 winds do not show a strong relation between mean and ACC either, though there is some  
588 suggestion of a link for the equatorial Indian Ocean (Fig. 5c). Biases over the tropical Pa-  
589 cific appear to have some negative remote impact on skill over the Indian Ocean but  
590 more analysis will be needed to substantiate this relation.

591 Multi-model AMIP-style simulations with prescribed warming patterns over the  
592 global oceans indicate that ACC and RMSE are rather insensitive to SST changes on the  
593 order of 4 K. Only for precipitation the RMSE deteriorates noticeably due to the exces-  
594 sive variability that results from the warming.

595 In two sensitivity experiments with the SINTEX-F GCM, SST biases from a free-  
596 running control simulation were prescribed over either the tropical Atlantic or the tropical

597 Pacific, while leaving the anomalies as in the control simulation. The ACC of surface  
598 zonal wind is mostly unaffected in some key equatorial regions. Precipitation shows  
599 some more obvious decrease, particularly over the equatorial Atlantic. The RMSE of pre-  
600 cipitation and surface zonal wind deteriorates noticeably over the equatorial Atlantic be-  
601 cause the variability of these fields increases. Conversely, RMSE is not affected signifi-  
602 cantly over the equatorial Pacific, where mean precipitation and its variability tend to de-  
603 crease.

604 Composite analysis of equatorial Atlantic warm events (Atlantic Niños) reveals that  
605 the warm SST biases in the eastern tropical Atlantic are associated with excessive sensi-  
606 tivity of precipitation anomalies to the underlying SST. This suggests that the unrealisti-  
607 cally warm SST produce an environment conducive to deep convection that reacts very  
608 sensitively to warm SST anomalies, even when observations show no such sensitivity.

609 While the excessive sensitivity to local SST anomalies often deteriorates the skill of  
610 precipitation, it can also increase it under certain circumstances. This appears to be the  
611 case for the southeastern tropical Atlantic where the signal-to-noise ratio is increased  
612 over warm SST biases. The spatial pattern of ACC (15ab) supports this notion because it  
613 shows increased skill in the southeastern tropical Atlantic. In the eastern equatorial Pacif-  
614 ic, on the other hand, cold SST biases are accompanied by a reduction in mean precipita-  
615 tion during MAM, and ACC decreases (Fig. 11). Recent studies indicate that the signal-  
616 to-noise ratio is underestimated in climate models (e.g. Eade et al. 2014; Scaife et al.  
617 2014) and thus warm SST biases may be able to compensate for this deficiency in some  
618 scenarios.

619 **6.2. Discussion**

620 Our results indicate that there is generally no straightforward linear correspondence  
621 between mean state biases in SST, precipitation and surface wind on the one hand, and  
622 the ability of a model to reproduce surface wind and precipitation anomalies on the other.  
623 Particularly the skill for surface wind seems largely unaffected by the mean state SST.  
624 For precipitation, there is some indication that cool SST biases reduce the signal-to-noise  
625 ratio and skill. This relation, however, can also work in the opposite direction, i.e. skill  
626 increases when the mean SSTs are positively biased. To summarize this, our results indi-  
627 cate that reducing the amplitude of SST biases does not necessarily lead to increased skill.

628 That SST biases affect precipitation more than surface wind can be explained by the  
629 non-linearity of precipitation. Changes in the SST distribution have a strong influence on  
630 whether a region permits or does not permit deep convection. This was evident in the Pa-  
631 cific bias experiment, where local cold biases and off-equatorial warm biases conspired  
632 to effectively suppress the precipitation response to warm SST anomalies in eastern equa-  
633 torial Pacific. Conversely, the warm SST bias in the southeast Atlantic produced an envi-  
634 ronment that was unrealistically conducive to convection, leading precipitation to re-  
635 spond to SST anomalies where it would not in nature.

636 Our sensitivity tests assess the impact of mean state SST biases only and thus assume  
637 that variability patterns remain unaffected. This will generally not be the case for coupled  
638 seasonal predictions because mean state wind biases will change, among others, the simu-  
639 lated temperature stratification of the oceans and therefore the areas of strong air-sea  
640 coupling. Thus SST variability patterns and their timing may change significantly in cou-  
641 pled prediction experiments and, for the case of free running coupled simulations, such  
642 changes are well documented (e.g. Richter et al. 2014a for the tropical Atlantic, and Bel-

643 lenger et al. 2013 for the tropical Pacific). Therefore, the impact of model biases on cou-  
644 pled prediction runs cannot be addressed here.

645 We stress that our results do not suggest that reducing surface wind and precipitation  
646 biases is futile. Rather we have shown that, in the narrow context of AMIP-like experi-  
647 ments, one cannot necessarily expect increased skill from improving mean state biases.

648

649

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660 **References**

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818

819 **Captions**

820

821 **Table 1** AMIP models used in this study. The “symbol” column shows the symbol  
822 used in the multi-model scatter plots. The “ensemble” column shows which models were  
823 used for the ensemble mean. The SINTEX-F model (first row) is not part of AMIP but  
824 was run in an AMIP-like configuration.

825

826 **Fig. 1** Anomaly correlation coefficient (ACC) for SST in the ATL3 region as func-  
827 tion of lead time for seasonal predictions from the CHFP model intercomparison (solid  
828 lines) and the SINTEX-F prediction system (dashed green line). The skill of the persis-  
829 tence reference prediction is indicated by the black solid line. All predictions were initial-  
830 ized on 1 February so that lead time 1 is centered on the middle of February, 2 on the  
831 middle of March etc. For some models, not all lead times were available.

832

833 **Fig. 2** AMIP multi-model scatter plots of quantities calculated from JJA mean pre-  
834 cipitation in the Niño 3.4 region. (a) Absolute difference of predicted and observed  
835 standard deviation versus root mean square error (RMSE). (b) Absolute difference of  
836 predicted and observed mean versus RMSE. Each model is marked by a letter, with “a”  
837 in the origin denoting observations. The model names can be looked up in Table 1. All  
838 quantities are calculated for the period 1979-2008.

839

840 **Fig. 3** ACC (shading) and bias (contour lines; interval 0.5) of the ensemble average  
841 of 11 AMIP models for (a) precipitation (mm/day), and (b) surface zonal wind (m/s). The  
842 reference data are GPCP for precipitation and ERA-Interim for surface zonal wind.  
843 Dashed lines indicate negative values. The zero contour line has been omitted. The ACC  
844 is calculated for the entire time series (1979-2008; no seasonal stratification).

845

846 **Fig. 4** AMIP multi-model scatter plot of mean precipitation versus its ACC for sev-  
847 eral regions and seasons: (a) equatorial Atlantic (50°W-10°E, 5°S-5°N) in MAM, (b) Ni-  
848 ño 3.4 (170-120°W, 5°S-5°N) in JJA, (c) equatorial Indian Ocean (50-95°E, 5°S-5°N) in  
849 SON, (d) Sahel (land points in 20°W-40°E, 5-15°N) in JJA, (e) South American monsoon  
850 region (land points in 90-30°W, 25-5°S) in JJA, and (f) Indian monsoon region (land  
851 points in 65-95°E, 5-25°N) in JJA. Each letter corresponds to one model, with “a” denot-  
852 ing observations.

853

854 **Fig. 5** AMIP multi-model scatter plot of surface zonal wind ACC and mean for the  
855 following regions and seasons: (a) western equatorial Atlantic (40-20°W, 2°S-2°N) in  
856 MAM, (b) Niño 4 (160°E-150°W, 5°S-5°N) in MAM, and (c) equatorial Indian Ocean  
857 (50-95°E, 5°S-5°N). Each letter corresponds to one model, with “a” denoting observa-  
858 tions.

859

860 **Fig. 6** Climatological annual mean of SST (shading; °C) and precipitation (contour  
861 lines; interval 3 mm/day) for an 11-member model ensemble in three experiments: (a)  
862 AMIP, (b) amip4K, and (c) amipFuture.

863

864 **Fig. 7** Skill metrics in the equatorial Pacific for three AMIP-style experiments  
865 (amip, amip4K, and amipFuture), stratified by month, for the following quantities, and  
866 regions: (a) ACC of Niño 4 surface zonal winds, (b) ACC of Niño 3.4 precipitation, (c)  
867 RMSE of Niño 4 surface zonal winds, and (d) RMSE of Niño 3.4 precipitation. The ref-  
868 erence data is ERA-Interim for winds and GPCP for precipitation. The dots indicate val-  
869 ues that are significantly different from experiment AMIP at the 95% confidence level  
870 based on a Fisher's z transformation for ACC and an F-test for RMSE.

871

872 **Fig. 8** As in Fig. 7, but for WEA surface zonal winds and equatorial Atlantic pre-  
873 cipitation (50°W-10°E, 5°S-5°N).

874

875 **Fig. 9** Climatological annual mean of SST (shading; °C), precipitation (contour  
876 lines; contour interval 2 mm/day) and surface winds (vectors; reference 5 m/s) in obser-  
877 vations and the three AMIP-style experiments conducted with SINTEX-F. (a) Total fields  
878 for OISST (SST), GPCP (precipitation) and ERA-Interim (surface winds), (b) biases in  
879 CTRL, (c) biases in Atl\_bias, and (d) biases in Pac\_bias. The biases in panels b-d are  
880 with reference to the observations in panel a. The reference period is 1982-2014.

881

882 **Fig. 10** As in Fig. 7 but for the following SINTEX-F experiments: CTRL (green  
883 line), Atl\_bias (blue line), and Pac\_bias (orange line). Skill scores are calculated from the  
884 9-ensemble mean of each experiment for the period 1982-2014.

885

886 **Fig. 11** (a) ACC (shading) and climatological mean (contours; interval 3 mm/day)  
887 of MAM precipitation in CTRL. (b) The difference between Pac\_bias and CTRL for  
888 ACC (shading) and climatological mean precipitation (contours; interval 2 mm/day; neg-  
889 ative contours dashed). In panel b, values significant at the 95% level are stippled.

890

891 **Fig. 12** MAM total precipitation (mm/day) averaged over the eastern equatorial Pa-  
892 cific (140-105°W, 5°S-5°N) scattered against (a) GPCP observations averaged in the  
893 same way, and (b) underlying SST (°C) averaged in the same way. Green indicates ob-  
894 servations, blue CTRL, and orange Pac\_bias. Regression lines are calculated for individ-  
895 ual data sets and plotted in the corresponding colors. The correlation coefficient (r) and  
896 slope (m) are shown in the upper left.

897

898 **Fig. 13** Difference between Pac\_bias and CTRL. The upper panel shows a latitude-  
899 pressure section of geopotential height (shading; m), and meridional and vertical velocity  
900 (arrows; units: m/s for meridional velocity and hPa/hr (multiplied by -10) for pressure  
901 velocity; upward arrows indicate rising motion and vice versa), averaged over the eastern  
902 Pacific (140-105°W). The lower panel shows the SST difference averaged over the same  
903 longitude range.

904

905 **Fig. 14** As in Fig. 10 but for the WEA (panels a and c) and EQATL (panels b and  
906 d) indices.

907

908 **Fig. 15** (a) ACC (shading) and climatological mean (contours; interval 3 mm/day)  
909 of MAM precipitation in CTRL. (b) The difference between Atl\_bias and CTRL for ACC  
910 (shading) and climatological mean precipitation (contours; interval 2 mm/day; negative  
911 contours dashed). (c) and (d) As in (a) and (b) but for surface zonal wind. In (b) and (d),  
912 values significant at the 95% level are stippled. The precipitation contour lines are re-  
913 peated in (c) and (d) to facilitate assessing their collocation with the ACC of surface zon-  
914 al wind.

915

916 **Fig. 16** SST (shading; °C) and precipitation anomalies (contours; mm/day) in July,  
917 composited on Atlantic Niño years (1984, 1988, 1991, 1995, 1996, 1999, 2008) for (a)  
918 GPCP observations, (b) CTRL, and (c) Atl\_bias. The precipitation contour interval is 0.5  
919 mm/day in (a), and 1 mm/day in (b) and (c). The zero-contour line has been omitted.

920

921 **Fig. 17** April-May-June (AMJ) difference of Atl\_bias and CTRL in terms of Sig-  
922 nal-to-noise-ratio (SNR; shading), and SST (contours; °C). SNR is estimated as the en-  
923 semble mean variance divided by the inter-ensemble variance. The SST difference be-  
924 tween the two experiments is essentially identical to the bias in Atl\_bias because SSTs in  
925 CTRL are strongly restored toward observations.

926

927 **A. Tables**

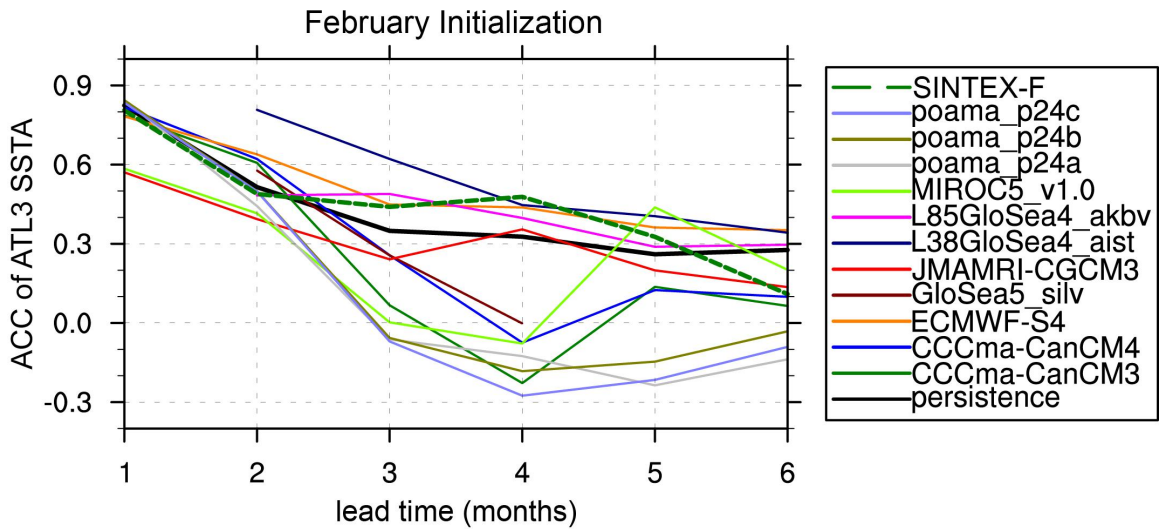
model	horizontal grid	# vertical levels	symbol	ensemble
SINTEX-F	T106 (1.1 °)	19	b	
ACCESS1-0	1.875° x 1.25°	38	c	
ACCESS1-3	1.875° x 1.25°	38	d	
bcc-csm1-1	T42 (2.8°)	26	e	yes
bcc-csm1-1-m	T42 (2.8°)	26	f	
BNU-ESM	T42 (2.8°)	26	g	
CanAM4	T63 (1.8°)	35	h	yes
CCSM4	1.25° x 0.9°	26	i	yes
CESM1-CAM5	1.25° x 0.9°	26	j	
CMCC-CM	T159 (0.75°)	31	k	
CNRM-CM5	T127 (1.5°)	31	l	yes
CSIRO-Mk3-6-0	T63 (1.9°)	18	m	
EC-EARTH	T159 (1.25°)	62	n	
FGOALS-g2	2.8125° x 2.8125°	26	o	
FGOALS-s2	R42 (2.8° x 1.7°)	26	p	
GFDL-CM3	200 km (2°)	48	q	
GFDL-HIRAM-	C180 (0.5°)	32	r	
GFDL-HIRAM-	C360 (0.25°)	32	s	
GISS-E2-R	2° x 2.5°	29	t	
HadGEM2-A	1.875° x 1.25°	60	u	yes
inmcm4	2° x 1.5°	21	v	
IPSL-CM5A-LR	3.75° x 1.9°	39	w	yes
IPSL-CM5A-	1.25° x 2.5°	39	x	
IPSL-CM5B-LR	3.75° x 1.9°	39	y	yes
MIROC5	T85 (1.4°)	40	z	yes
MIROC-ESM	T42 (2.8°)	80	0	
MPI-ESM-LR	T63 (1.8°)	47	1	yes
MPI-ESM-MR	T63 (1.8°)	95	2	yes
MRI-AGCM3-	T319 (60km)	64	3	
MRI-AGCM3-	T959 (20km)	64	4	
MRI-CGCM3	T159 (1.125°)	35	5	yes
NorESM1-M	2.5° x 2.9°	26	6	

928 **Table 1** AMIP models used in this study. The “symbol” column shows the symbol used in the multi-  
 929 model scatter plots. The “ensemble” column shows which models were used for the ensemble mean. The  
 930 SINTEX-F model (first row) is not part of AMIP but was run in an AMIP-like configuration.

931

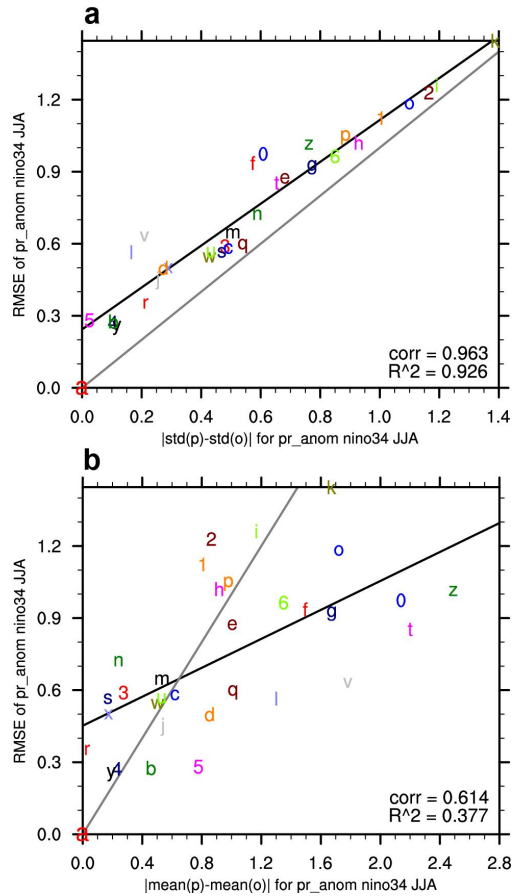
932

## B. Figures



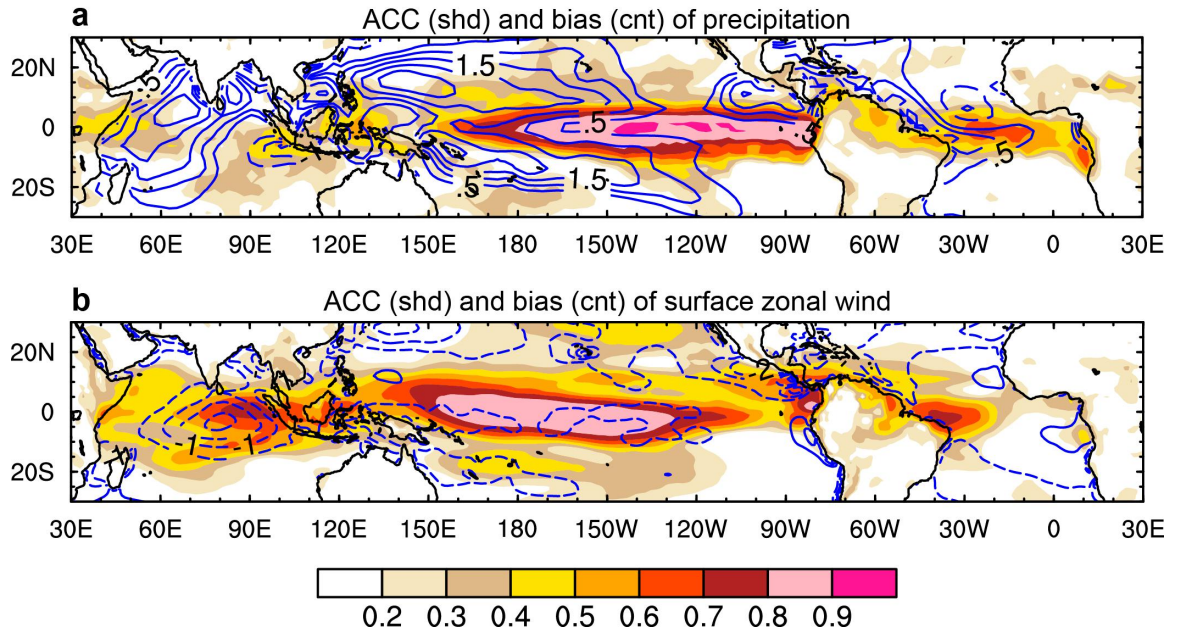
933

934 **Fig. 1** Anomaly correlation coefficient (ACC) for SST in the ATL3 region as function of lead time  
935 for seasonal predictions from the CHFP model intercomparison (solid lines) and the SINTEX-F prediction  
936 system (dashed green line). The skill of the persistence reference prediction is indicated by the black solid  
937 line. All predictions were initialized on 1 February so that lead time 1 is centered on the middle of February,  
938 2 on the middle of March etc. For some models, not all lead times were available.



939

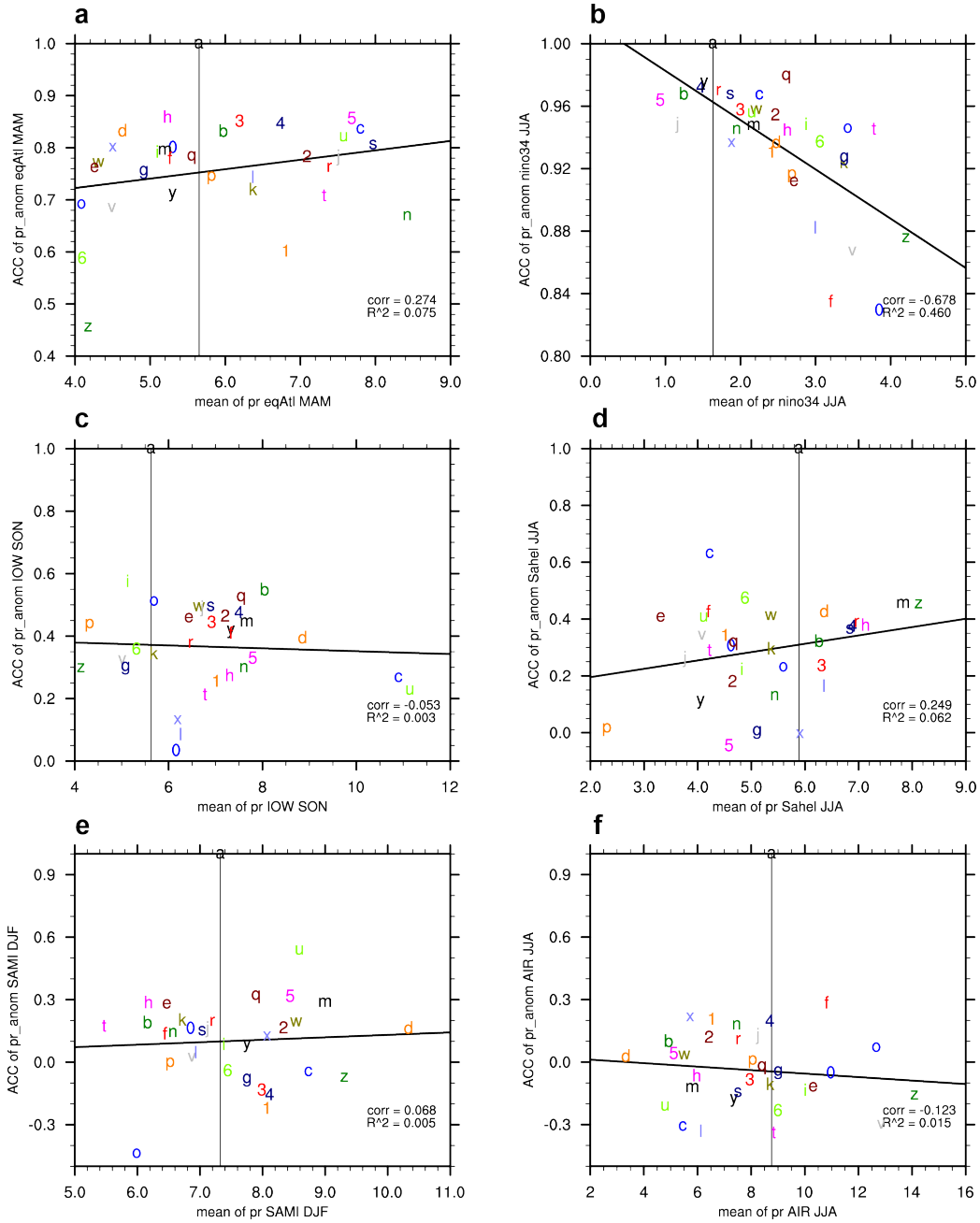
940 **Fig. 2** AMIP multi-model scatter plots of quantities calculated from JJA mean precipitation in the  
 941 Niño 3.4 region. (a) Absolute difference of predicted and observed standard deviation versus root mean  
 942 square error (RMSE). (b) Absolute difference of predicted and observed mean versus RMSE. Each model  
 943 is marked by a letter, with “a” in the origin denoting observations. The model names can be looked up in  
 944 Table 1. All quantities are calculated for the period 1979-2008.



945

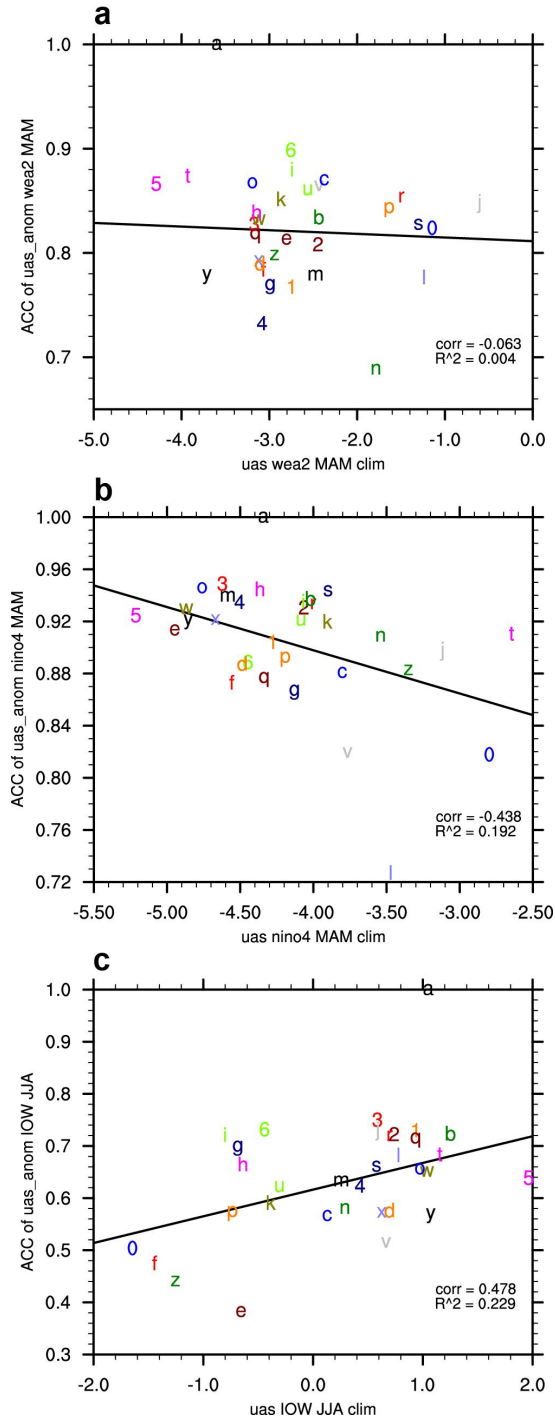
946 **Fig. 3** ACC (shading) and bias (contour lines; interval 0.5) of the ensemble average of 11 AMIP  
 947 models for (a) precipitation (mm/day), and (b) surface zonal wind (m/s). The reference data are GPCP for  
 948 precipitation and ERA-Interim for surface zonal wind. Dashed lines indicate negative values. The zero con-  
 949 tour line has been omitted. The ACC is calculated for the entire time series (1979-2008; no seasonal strati-  
 950 fication).





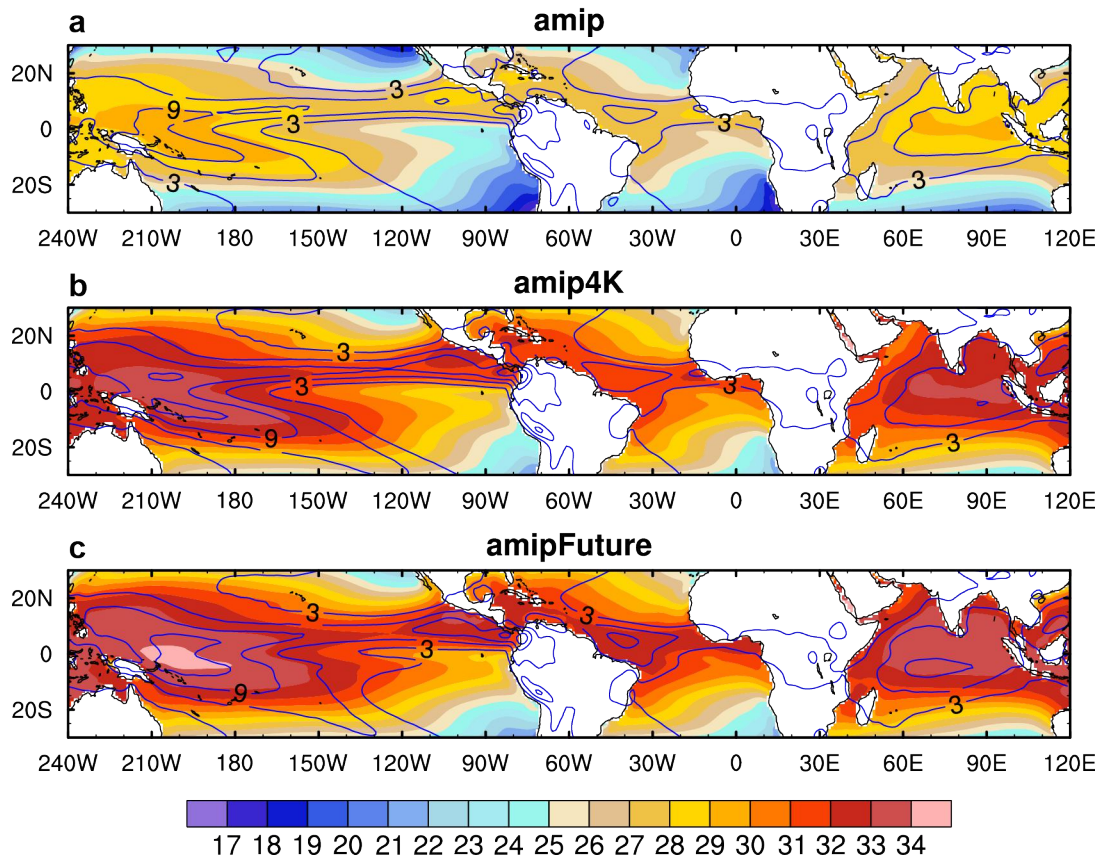
951

952 **Fig. 4** AMIP multi-model scatter plot of mean precipitation versus its ACC for several regions and  
 953 seasons: (a) equatorial Atlantic (50°W-10°E, 5°S-5°N) in MAM, (b) Niño 3.4 (170-120°W, 5°S-5°N) in  
 954 JJA, (c) equatorial Indian Ocean (50-95°E, 5°S-5°N) in SON, (d) Sahel (land points in 20°W-40°E, 5-  
 955 15°N) in JJA, (e) South American monsoon region (land points in 90-30°W, 25-5°S) in JJA, and (f) Indian  
 956 monsoon region (land points in 65-95°E, 5-25°N) in JJA. Each letter corresponds to one model, with “a”  
 957 denoting observations.



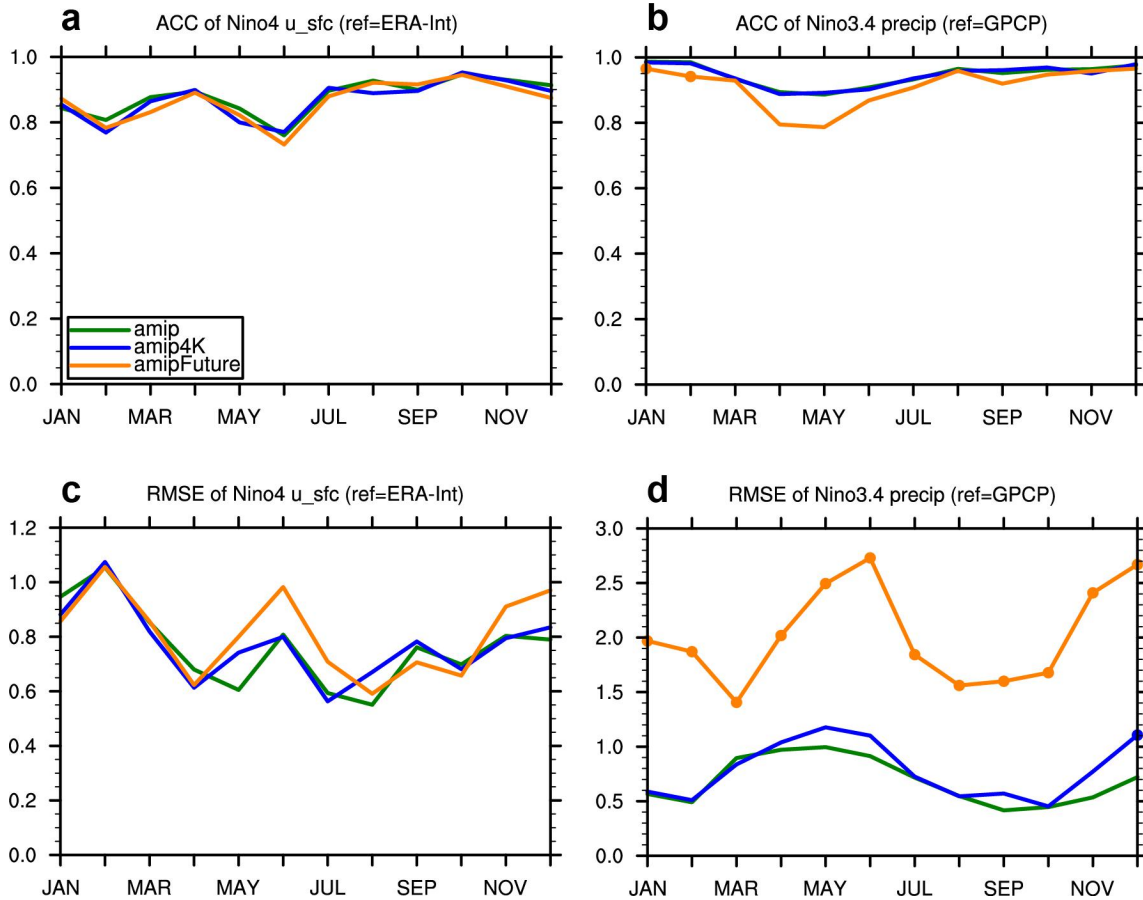
958

959 **Fig. 5** AMIP multi-model scatter plot of surface zonal wind ACC and mean for the following regions  
 960 and seasons: (a) western equatorial Atlantic (40-20°W, 2°S-2°N) in MAM, (b) Niño 4 (160°E-150°W, 5°S-  
 961 5°N) in MAM, and (c) equatorial Indian Ocean (50-95°E, 5°S-5°N). Each letter corresponds to one model,  
 962 with “a” denoting observations.



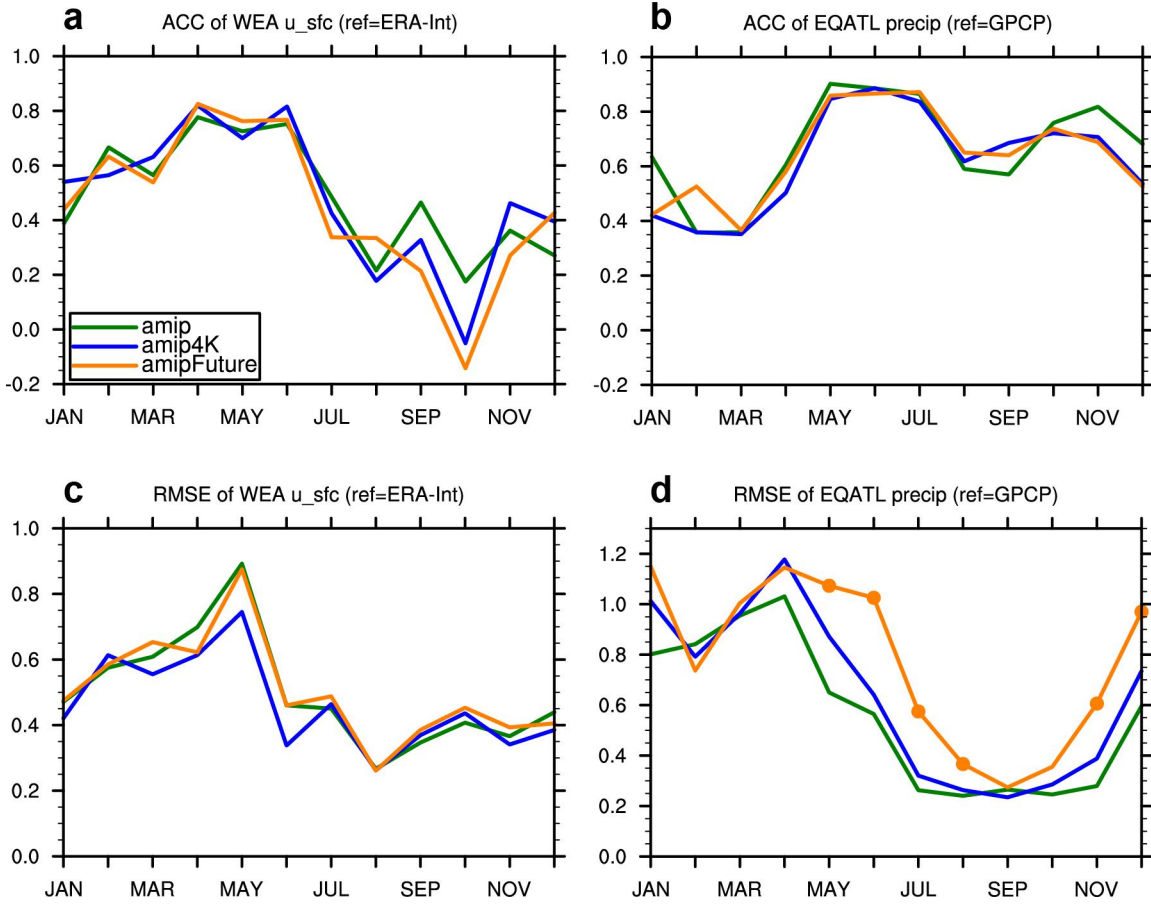
963

964 **Fig. 6** Climatological annual mean of SST (shading; °C) and precipitation (contour lines; interval 3  
 965 mm/day) for an 11-member model ensemble in three experiments: (a) AMIP, (b) amip4K, and (c) amip-  
 966 Future.



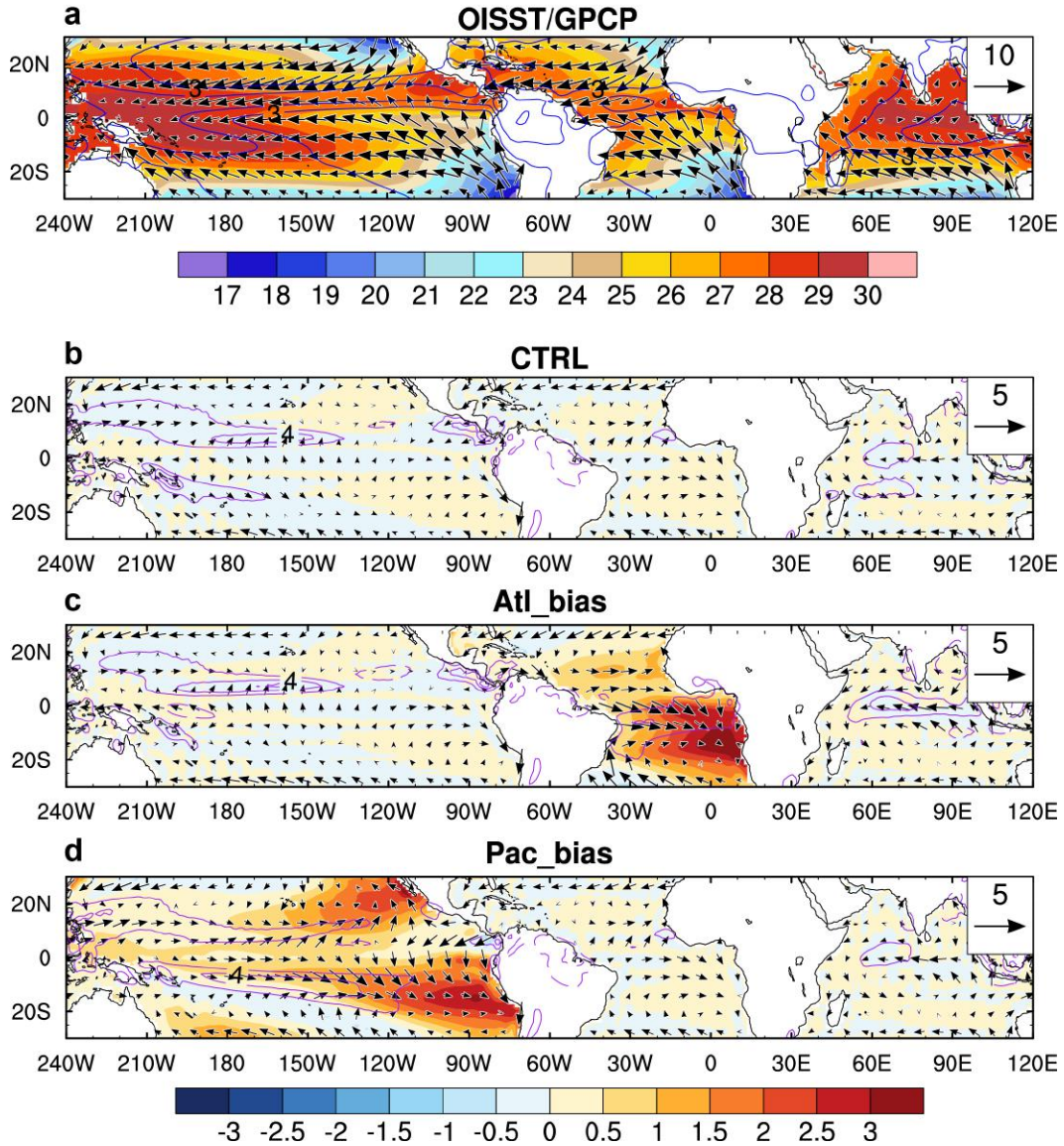
967

968 **Fig. 7** Skill metrics in the equatorial Pacific for three AMIP-style experiments (amip, amip4K, and  
 969 amipFuture), stratified by month, for the following quantities, and regions: (a) ACC of Niño 4 surface zonal  
 970 winds, (b) ACC of Niño 3.4 precipitation, (c) RMSE of Niño 4 surface zonal winds, and (d) RMSE of Niño  
 971 3.4 precipitation. The reference data is ERA-Interim for winds and GPCP for precipitation. The dots indi-  
 972 cate values that are significantly different from experiment AMIP at the 95% confidence level based on a  
 973 Fisher's z transformation for ACC and an F-test for RMSE.



974

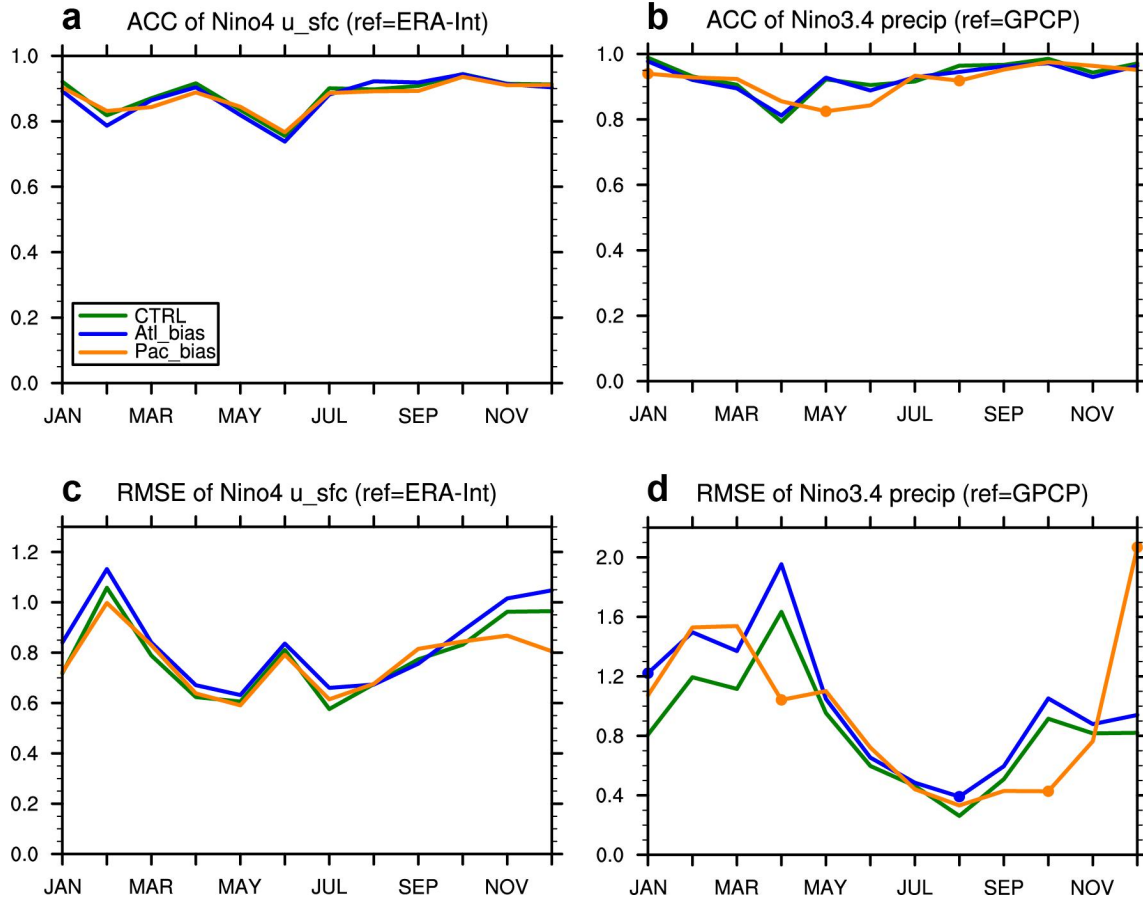
975 **Fig. 8** As in Fig. 7, but for WEA surface zonal winds and equatorial Atlantic precipitation (50°W-  
 976 10°E, 5°S-5°N).



977

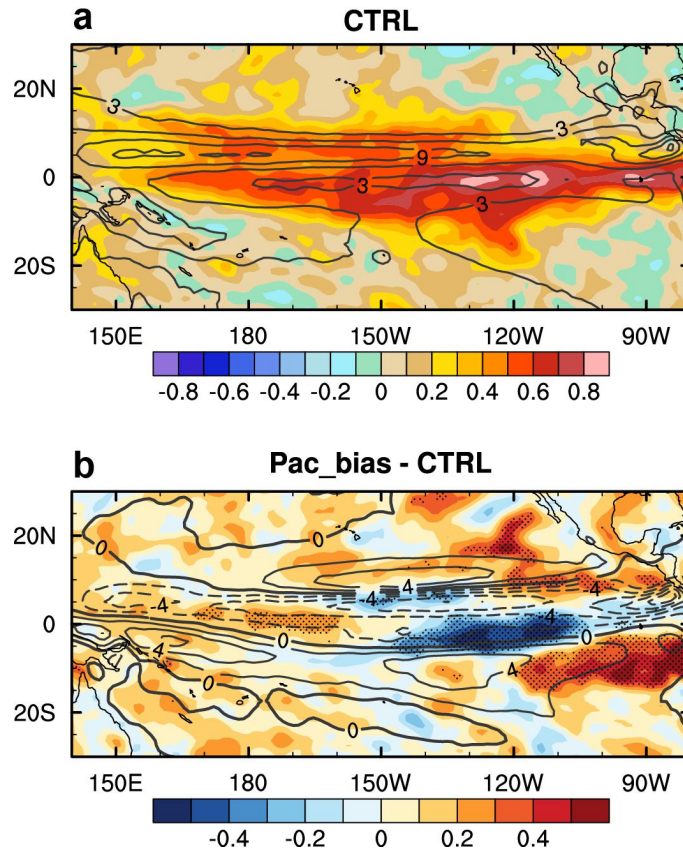
978 **Fig. 9** Climatological annual mean of SST (shading; °C), precipitation (contour lines; contour inter-  
 979 val 2 mm/day) and surface winds (vectors; reference 5 m/s) in observations and the three AMIP-style ex-  
 980 periments conducted with SINTEX-F. (a) Total fields for OISST (SST), GPCP (precipitation) and ERA-  
 981 Interim (surface winds), (b) biases in CTRL, (c) biases in Atl\_bias, and (d) biases in Pac\_bias. The biases  
 982 in panels b-d are with reference to the observations in panel a. The reference period is 1982-2014.





983

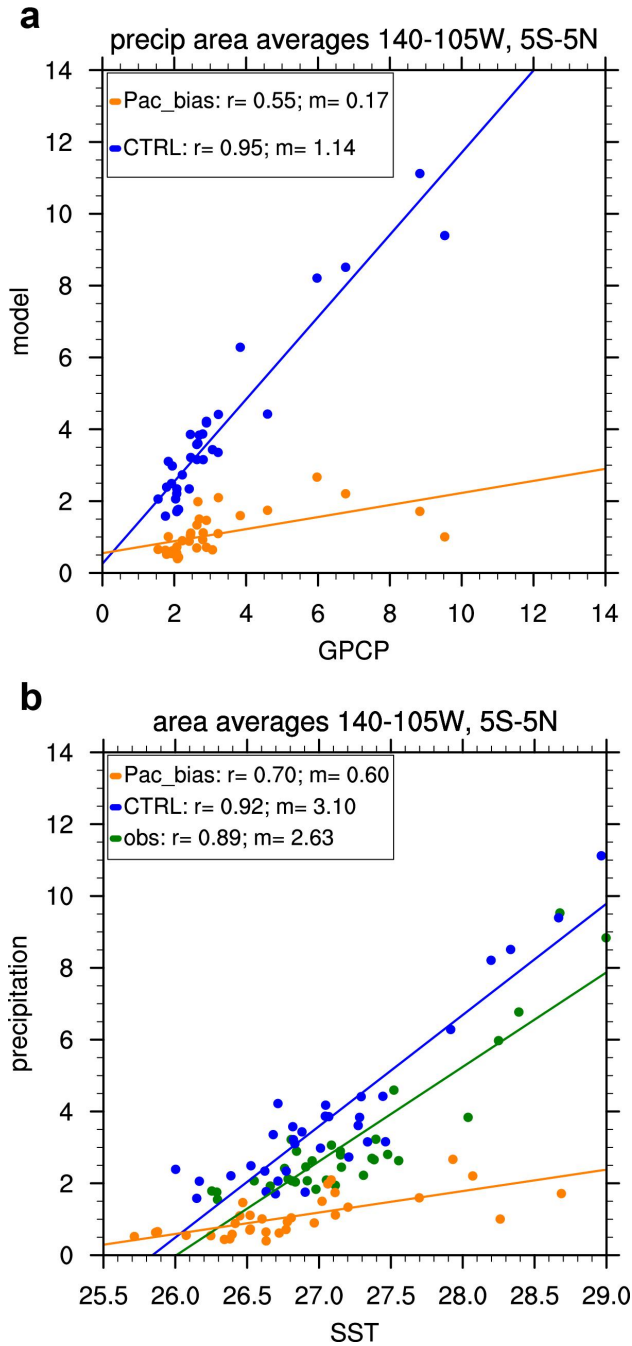
984 **Fig. 10** As in Fig. 7 but for the following SINTEX-F experiments: CTRL (green line), Atl\_bias (blue  
 985 line), and Pac\_bias (orange line). Skill scores are calculated from the 9-ensemble mean of each experiment  
 986 for the period 1982-2014.



987

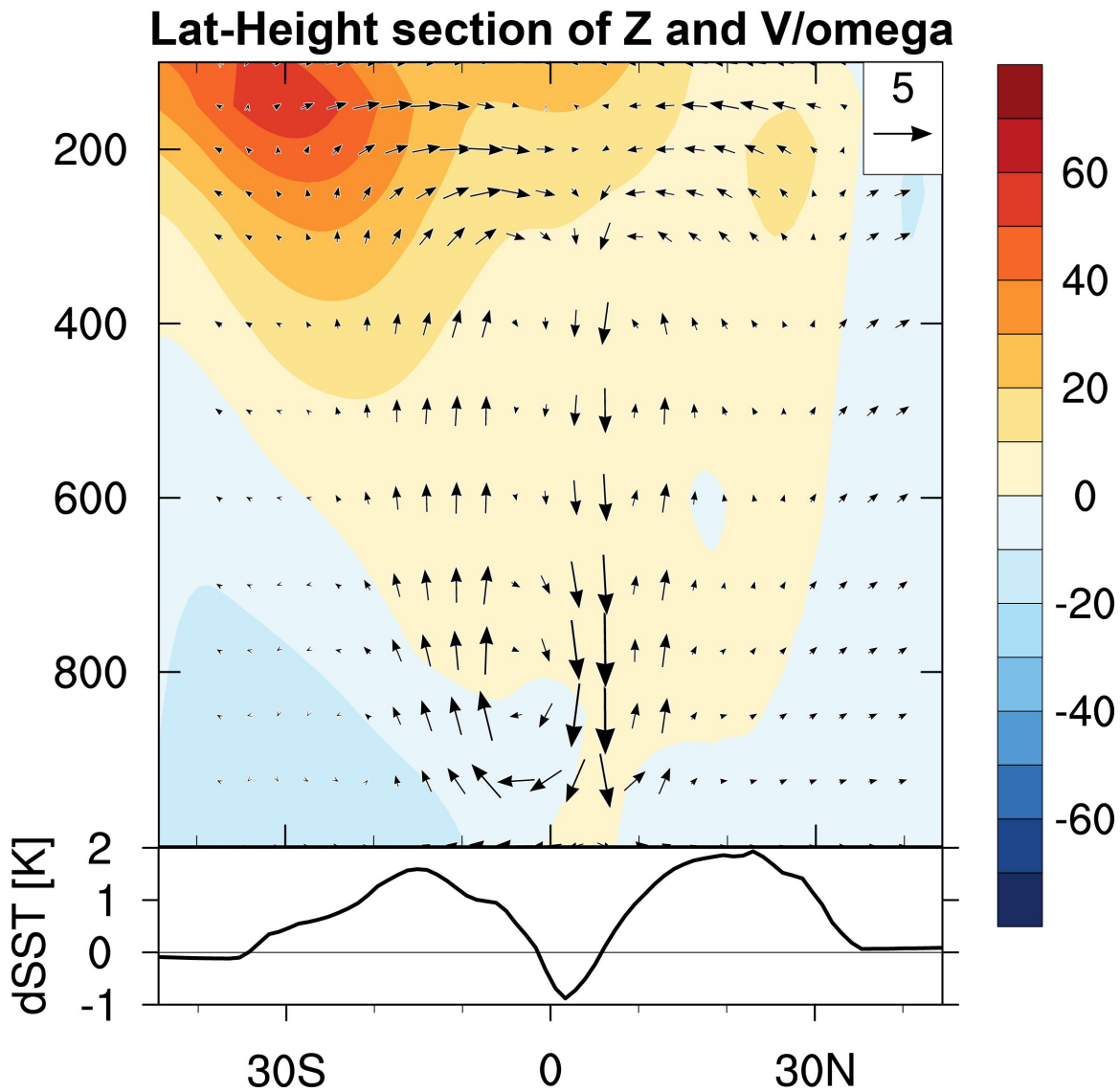
988 **Fig. 11** (a) ACC (shading) and climatological mean (contours; interval 3 mm/day) of MAM precipi-  
 989 tation in CTRL. (b) The difference between Pac\_bias and CTRL for ACC (shading) and climatological  
 990 mean precipitation (contours; interval 2 mm/day; negative contours dashed). In panel b, values significant  
 991 at the 95% level are stippled.





992

993 **Fig. 12** MAM total precipitation (mm/day) averaged over the eastern equatorial Pacific (140-105°W,  
 994 5°S-5°N) scattered against (a) GPCP observations averaged in the same way, and (b) underlying SST (°C)  
 995 averaged in the same way. Green indicates observations, blue CTRL, and orange Pac\_bias. Regression  
 996 lines are calculated for individual data sets and plotted in the corresponding colors. The correlation coefficient (r) and slope (m) are shown in the upper left.  
 997



998

30S

0

30N

999

**Fig. 13** Difference between Pac\_bias and CTRL. The upper panel shows a latitude-pressure section

1000

of geopotential height (shading; m), and meridional and vertical velocity (arrows; units: m/s for meridional

1001

velocity and hPa/hr (multiplied by -10) for pressure velocity; upward arrows indicate rising motion and

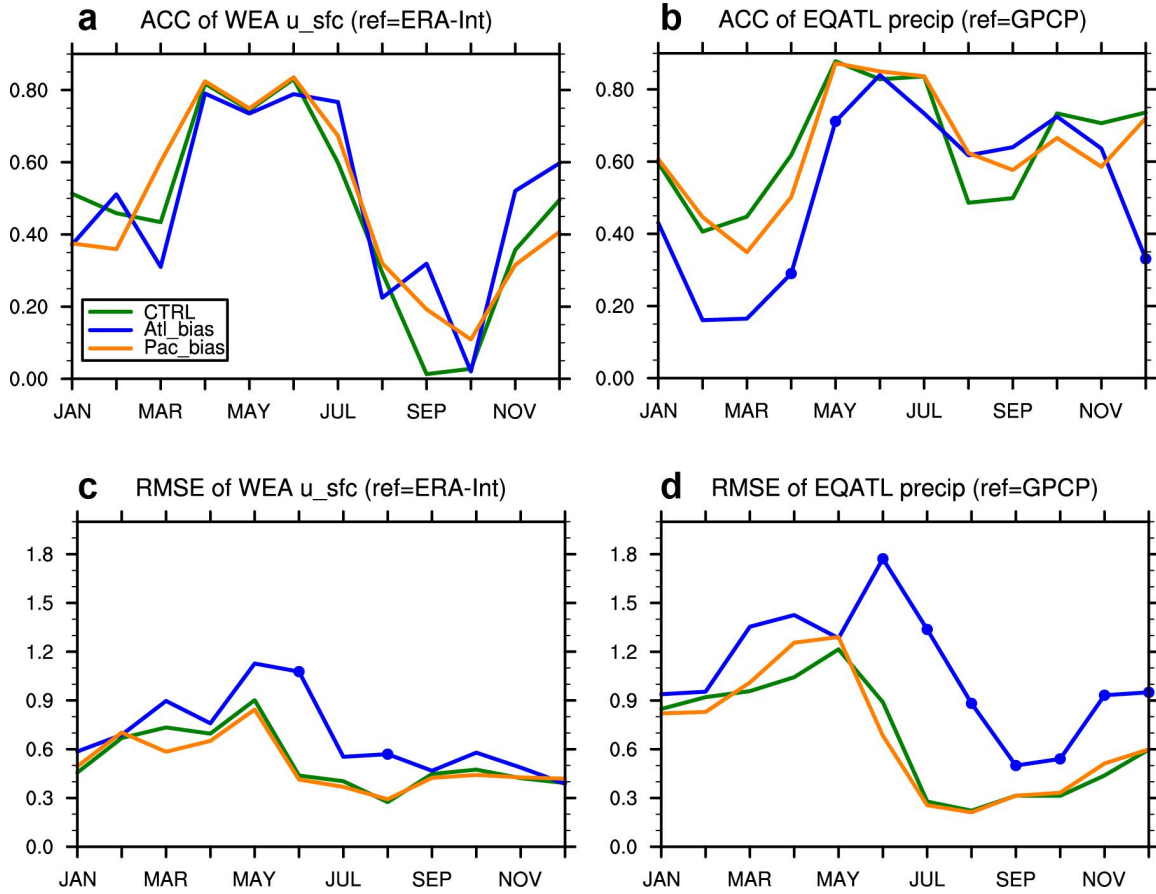
1002

vice versa), averaged over the eastern Pacific (140-105°W). The lower panel shows the SST difference av-

1003

eraged over the same longitude range.

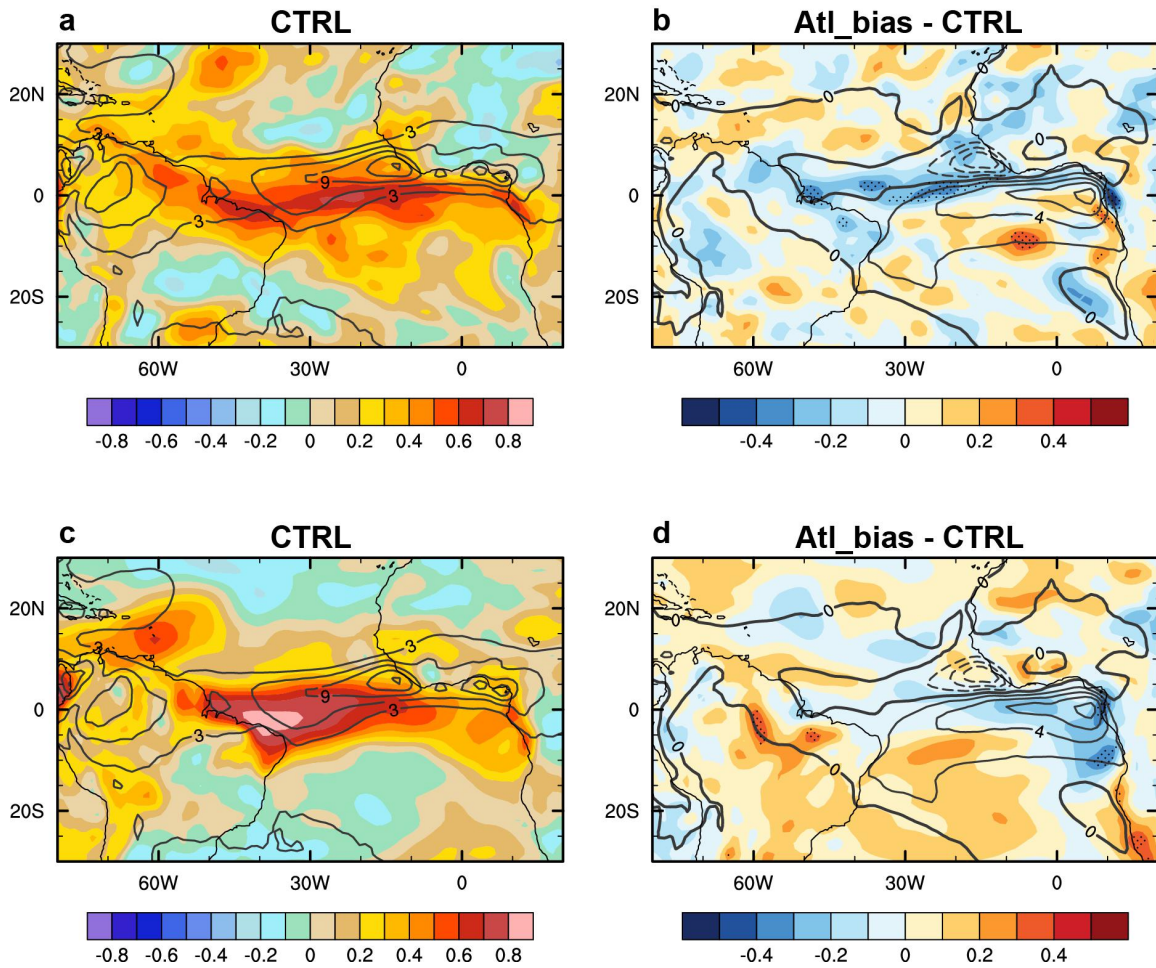
1004



1005

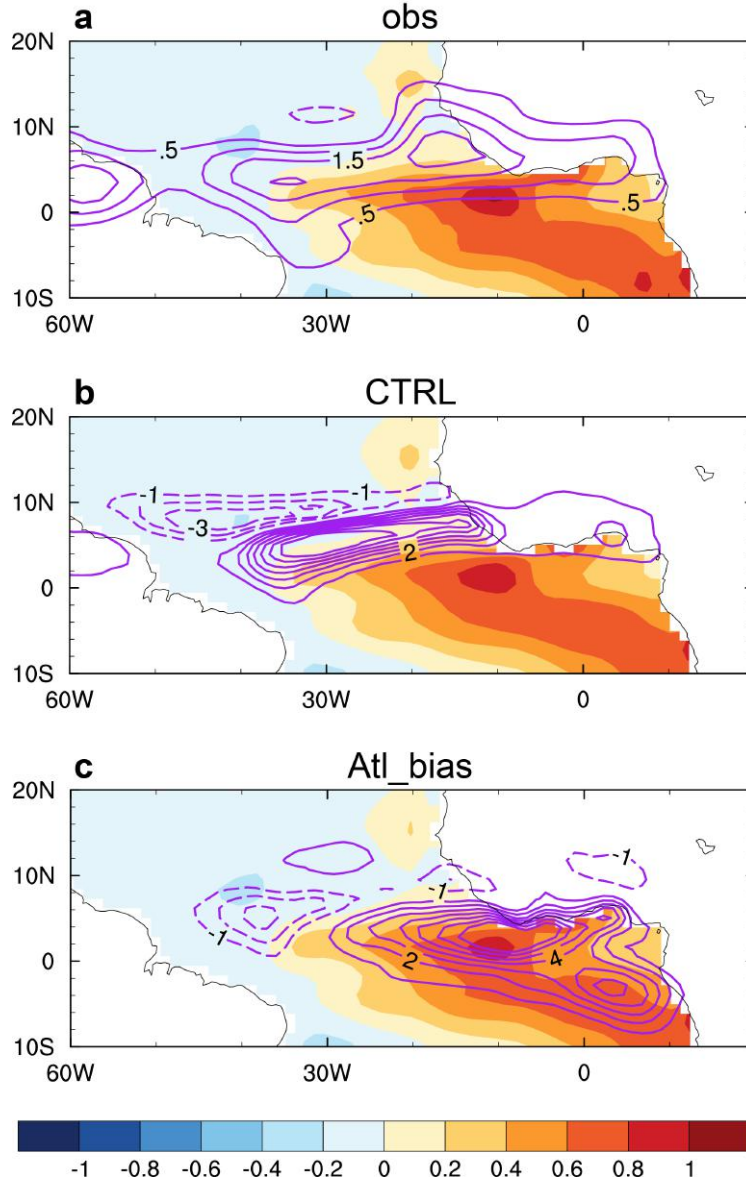
1006

**Fig. 14** As in Fig. 10 but for the WEA (panels a and c) and EQATL (panels b and d) indices.



1007

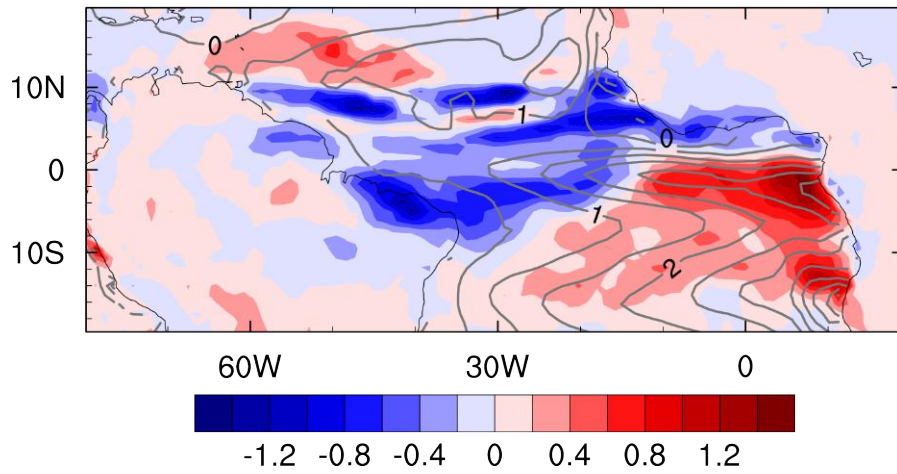
1008 **Fig. 15** (a) ACC (shading) and climatological mean (contours; interval 3 mm/day) of MAM precipi-  
 1009 tation in CTRL. (b) The difference between Atl\_bias and CTRL for ACC (shading) and climatological  
 1010 mean precipitation (contours; interval 2 mm/day; negative contours dashed). (c) and (d) As in (a) and (b)  
 1011 but for surface zonal wind. In (b) and (d), values significant at the 95% level are stippled. The precipitation  
 1012 contour lines are repeated in (c) and (d) to facilitate assessing their collocation with the ACC of surface  
 1013 zonal wind.



1014

1015 **Fig. 16** SST (shading; °C) and precipitation anomalies (contours; mm/day) in July, composited on  
 1016 Atlantic Niño years (1984, 1988, 1991, 1995, 1996, 1999, 2008) for (a) GPCP observations, (b) CTRL, and  
 1017 (c) Atl\_bias. The precipitation contour interval is 0.5 mm/day in (a), and 1 mm/day in (b) and (c). The zero-  
 1018 contour line has been omitted.

**Atl\_bias-CTRL: SNR (shd) and SST (cnt) (AMJ)**



1019

1020 **Fig. 17** April-May-June (AMJ) difference of Atl\_bias and CTRL in terms of Signal-to-noise-ratio  
1021 (SNR; shading), and SST (contours; °C). SNR is estimated as the ensemble mean variance divided by the  
1022 inter-ensemble variance. The SST difference between the two experiments is essentially identical to the  
1023 bias in Atl\_bias because SSTs in CTRL are strongly restored toward observations.